

Virginia H. Atherton

61 Lagunita
Laguna Beach, CA 92651
949-497-1904
gatherton@cox.net

November 3, 2009

✓ Clerk of the U.S. Bankruptcy Court
Southern District of New York
One Bowling Green
New York, N.Y., 10004

Irving H. Picard, Trustee
c/o Baker & Hostetler
45 Rockefeller Plaza
New York, N.Y. 10111

Re: Bernard Madoff Bankruptcy Case #08-1789 (BRL)
Account #1ZA414 Atherton Family Trust
Claim #001334

Gentlemen:

Receipt is acknowledged of Notice of Trustee's Determination of Claim re the above bankruptcy case. This letter is my written opposition to the decision. It is my request to have a telephone hearing before Bankruptcy Judge Burton R. Lifland for the following reason.

As notified in my letter to Irving H. Picard dated May 12, 2009, together with backup documentation, within the above account there was a sub-account in which monies were deposited in the total amount of \$100,000 during the years 1995 and 1996 by my niece, Cynthia Valentine, and her husband, Leonard Valentine. These monies were meant to be a retirement savings vehicle for them. Copies of all documentation is also included herein including IRS forms given to the Valentines each year commencing in 1995.

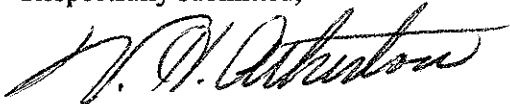
I have withdrawn monies from my account and written checks to the Valentines (copies enclosed showing endorsement and clearance) in the total amount of \$60,000, as follows:

Check #9609 dated October 25, 1996 to Leonard Valentine	\$7500.00
Check #9608 dated October 25, 1996 to Cynthia A. Valentine	\$7500.00
Check #9693 dated January 21, 2007 to C or L Valentine	12000.00
Check #9315 dated April 10, 2007 to L or C Valentine	13000.00
Check #9739 dated January 18, 2008 to L or C Valentine	6000.00
Check #9740 dated January 18, 2008 to L or C Valentine	6000.00
Check #9741 dated January 18, 2008 to C or L Valentine	<u>8000.00</u>
	\$60,000.00

As set forth in your Notice of Determination, in the matter of the sub-account for the Valentines within Account No 1ZA414, there is a 'net equity' of \$40,000. I am requesting that the \$40,000 balance of their 'net equity' be returned.

Thank you for your consideration in this matter. Please contact me should any additional information be needed or if you have a question.

Respectfully submitted,



Virginia H. Atherton

Enclosures

Sent Certified Mail
Return Receipt Requested

Virginia H. Atherton

61 Lagunita
Laguna Beach, CA 92651
949-497-1904
gatherton@cox.net

Copy

May 12, 2009

Irving H. Picard, Esq.
Trustee, BLM Inv. Secs.
2100 McKinney Avenue, Suite 800
Dallas, TX 75201

Re: Account #IZA414/Atherton Family Trust

Dear Mr. Picard:

Please be advised that under the above account, there was a sub-account for my niece and her husband, Cynthia and Leonard Valentine. Over time, when the Valentines requested a separate account they were informed by Bernard Madoff (in person) that no new accounts were being opened (note: probably because the amount was not significant enough). Therefore, they placed their money with me to be invested as a retirement/savings account.

In order to prove that this account actually existed, enclosed please find copies of documents as follows:

1. Cashier's check, \$20,000 payable to me dated June 29, 1995; deposit slip in same amount to my Merrill Lynch account, dated June 29, 1995; personal check of Leonard Valentine, #214 dated June 27, 1995, payable to me in the amount of \$30,000; deposit slip in the amount of \$30,000 to my Merrill Lynch account; my CMA check #0556 dated June 27, 1995 in the amount of \$50,000 payable to Bernard Madoff; my letter to BLMIS attn: Annette Bongiorno dated June 27, 1995 submitting the \$50,000 for investment; receipt from BLMIS dated 7/03/95 in the amount of \$50,000.

2. Cashier's check Farmers Merchants Bank #60815 dated June 20, 1996 payable to me in the amount of \$15,000; personal check #220 of Leonard Valentine payable to me in the amount of \$35,000; deposit slip dated June 29, 1996 in the amount of \$50,000 to my Merrill Lynch CMA account; receipt from BLMIS dated July 8, 1996 in the amount of \$50,000 evidencing that this money was invested for them.

3. 1099 INT forms from me to Leonard Valentine evidencing purported account returns:

1995	\$4,130.00
1996	14,264.55
1997	27,852.00

1998	31,074.00
1999	39,720.00
2000	14,989.13
2001	32,479.18
2002	37,037.58
2003	31,069.64
2004	35,379.37
2005	can't find
2006	54,225.28
2007	48,501.79

4. Customer Claim for the Valentine portion of this account in the amount of \$496,451.19, together with Investment Account worksheet for the last quarter of 2008 setting forth the division of the two accounts.

These documents will obviously alter the original Customer Claim executed by me. I will await direction from you as to how you wish this to be handled in order to make settlement. As the Investment Worksheet states, my portion of the account is \$424,746.57. Please contact me with any questions or further documentation you need. An attempt has been made on my part to provide you with accurate and detailed information.

A request was sent to you earlier this week to provide me with a Hardship Application(s). I am of retirement age and trying to live on social security and a small annuity. Even living very frugally I am experiencing a shortfall each month in the approximate amount of \$1,000 which will soon deplete the small savings I have.

Thank you for your timely attention to this letter and claim.

Sincerely,


Virginia H. Atherton

VHA:sk

SENDER: COMPLETE THIS SECTION

■ Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
■ Print your name and address on the reverse so that we can return the card to you.
■ Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:
*Irving Picard Esq.
Trustee BMSI
Claims Processing Ctr
2100 McKinney Ave.,
Suite 800
Dallas, TX 75201*

A. Signature ☒ Agent
[Signature] ☐ Addressee

B. Received by (Printed Name)

C. Date of Delivery

D. Is delivery address different from item 1? ☐ Yes
If YES, enter delivery address below: ☐ No

3. Service Type
☒ Certified Mail ☐ Express Mail
☐ Registered ☐ Return Receipt for Merchandise
☐ Insured Mail ☐ C.O.D.

4. Restricted Delivery? (Extra Fee) ☐ Yes

2. Article Number
(Transfer from service label) 7008 0150 0001 0677 1526

Domestic Return Receipt

PS Form 3811, February 2004

102595-02-M-1540



FARMERS & MERCHANTS BANK
 LAKE MONTICELLO
 23752 MONTELEONE BLVD
 EL TORO, CA 92530-7421

11 56025

88-119/1222

PAY TO THE
 ORDER OF

*****VIRGINIA A THERTON***** 20,000.00*****

THIS DOCUMENT HAS A MICRO-PRINT SIGNATURE LINE, SIMILAR TO THE ONE ON THE FRONT OF THE CHECK. IF THESE FEATURES ARE NOT INDICATED, IT IS A COPY.

F & N BANK

2000000000

AUTHORIZED SIGNATURE

CASHIER'S CHECK

⑈01156025⑈ ⑆122201148⑆ ⑆198872⑆

Merrill Lynch Credit Slip

VIRGINIA H ATHERTON TTEE

O/A DTD 06/27/91

BY VIRGINIA H ATHERTON

61 LAGUNITA

LAGUNA BEACH, CA 92651-4223

CMA 20764025

**Merrill Lynch**

SEPT. 2019

LOS ANGELES, CA 90088-2019

FOR CHECKS ONLY

CHECKS ONLY (LIST SEPARATELY)		DOLLARS	CENTS
1	170-119/1222	20,000	00
2			
3			
4			
5			
6			
TOTAL		20,000	00

999207640255 7708189260008 0000000000 0

LEONARD A. VALLANTINE D.C. Pg 7 of 56
 26391 LOS ALAMITOS
 LAGUNA HILLS, CA 92653

#20764025

6/27/95

16-66/1220

PAY TO THE
 ORDER OF

VIRGINIA ATHERTON

\$ 39,000.00

THIRTY THOUSAND

00 DOLLARS

Cash Maximizer®

Bank of America
 Fountain Valley Branch 0690
 P.O. Box 8066
 Fountain Valley, CA 92728

FOR

Deposit in MADOFF, Bernard A. Valente

⑆ 12200066 ⑆ 0214 ⑆ 06907 ⑆ 06060 ⑆

Merrill Lynch Credit Slip

VIRGINIA H ATHERTON TTEE
 U/A DTD 06/27/91
 BY VIRGINIA H ATHERTON
 61 LAGUNITA
 LAGUNA BEACH, CA 92651-4223

CMA 20764025

Merrill Lynch
 DEPT. 2019
 LOS ANGELES, CA 90088-2019

DATE

6-27-95

FOR CHECKS ONLY	CHECKS ONLY (LIST SEPARATELY)	DOLLARS	CENTS
	1		
	2 1666/1220	30,000	00
	3		
	4		
	5		
	6		
	TOTAL	30,000	00

999207640255 7708189260008 0000000000 0

VIRGINIA H ATHERTON TTEE
 61 LAGUNITA
 LAGUNA BEACH, CA 92651

CMA® Cash Management Account®

0556

25-80/440

6-27-1995

PAY TO THE
 ORDER OF

Bernard Madoff, Inc. Sec.

\$ 50,000.00

Fifty Thousand & 00/100

DOLLARS

Merrill Lynch

BANK ONE BANK ONE, COLUMBUS, OH
 Columbus, Ohio 43221

Acct. # 1-2A 414-3

V. H. Atherton

⑆ 044000804 ⑆ 040131516653 ⑆ 0556



June 27, 1995

Bernard L. Madoff
Investment Securities
885 Third Avenue
New York, NY 10022-4834

Attn: Ms. Annette Bongiorno

Re: Account #1-ZA414-3-0

Gentlemen:

Enclosed is my check #0556 payable to your order in the amount of \$50,000 to be applied to the above account for investment. PLEASE NOTE, I would like earnings to be reinvested until further notice.

Please acknowledge receipt of this check and contact me should you have any questions. Thank you.

Very truly yours,

A handwritten signature in cursive script, appearing to read 'V. H. Atherton', is written over a horizontal line.

Virginia H. Atherton
61 Lagunita
Laguna Beach, CA 92651

VHA:sk
Encl. - 1 check



BERNARD L. MADOFF
Investment Securities
New York □ London

885 Third Avenue
New York, NY 100
(212) 230-24
(800) 221-22
TELEX 235 1
FAX (212) 486-81

WE HAVE THIS DAY **CREDITED YOUR**
ACCOUNT WITH THE FOLLOWING:

7/03/95

CHECK

50,000.00

CLIENT'S ACCOUNT NUMBER

ATHERTON FAMILY TRUST
VIRGINIA H. ATHERTON AS TRUSTEE
61 LAGUNITA
LAGUNA BEACH CA 92651

1-ZA414-3

Leonard & Cynthia Valentine's money

VIRGINIA H. ATHERTON TTEE
U/A DTD 06/27/91
BY VIRGINIA H. ATHERTON
61 LAGUNITA
LAGUNA BEACH, CA 92651-4223

CMA 20764025

Merrill Lynch
DEPT 2019
LOS ANGELES, CA 90088-2019

FOR CHECKS ONLY (USE SEPARATELY)	DOLLARS		CENTS
	1	16-66/1220	35,000 00
	2	90-119/1222	15,000 00
	3		
	4		
	5		
	6		
	TOTAL		50,000 00

999207640255 7708189260008 00000000000 0

LEONARD A. VALENTINE D.C.
26391 LOS ALAMITOS
LAGUNA HILLS, CA 92653

220

PAY TO THE
ORDER OF

6.25.96 16-66/1220
VIRGINIA ATHERTON \$ 35,000
THIRTY FIVE THOUSAND ~~72~~ DOLLARS

Bank of America
Fountain Valley Branch 0690
P.O. Box 8066
Fountain Valley, CA 92728

Cash Maximizer®

FOR

⑆ 12200066 ⑆ 0220006907006060 ⑆



FARMERS & MERCHANTS BANK
LAKE FOREST OFFICE
23772 ROCKFIELD BLVD.
EL TORO, CA 92630 714-855-8221

11 60815

90-119/1222

DATE JUNE 20, 1996

OF *****VIRGINIA ATHERTON*****\$15,000.00*****

THIS DOCUMENT HAS A MICRO-PRINT SIGNATURE LINE, SIMULATED WATERMARK, AND CHLOROSTAIN PAPER; ABSENCE OF THESE FEATURES WILL INDICATE A COPY

F&M BANK 15,000dol's 00cts

AUTHORIZED SIGNATURE

CASHIER'S CHECK

⑆ 01160815 ⑆ 122201198 ⑆ 01 70002 2 ⑆

© DELUXE BLUESTONE



BERNARD L. MADOFF
Investment Securities
New York □ London

885 Third Avenue
New York, NY 10022
(212) 230-2400
(800) 221-2242
TELEX 235 130
FAX (212) 486-8178

WE HAVE THIS DAY **CREDITED YOUR**
ACCOUNT WITH THE FOLLOWING:

7/08/96

CHECK

50,000.00

CLIENT'S ACCOUNT NUMBER

ATHERTON FAMILY TRUST
VIRGINIA H ATHERTON AS TSTEE
61 LAGUNITA
LAGUNA BEACH CA 92651

1-ZA414-3

Cynthia & Leonard Valentines funds

LEONARD & CYNTHIA VALENTINE

STATEMENT OF ACCOUNT
12/31/95

7/1/95 Deposited with Bernard Madoff	\$50,000.00
12/31/95 Annual interest earned @ 16.52%	
= \$8,260.00	
divided by six months =	<u>4,130.00</u>
12/31/95 Account balance	\$54,130.00

VIRGINIA H. ATHERTON

Attached: 1099-INT

Form 1096 Department of the Treasury Internal Revenue Service	Annual Summary and Transmittal of U.S. Information Returns	1995
FILER'S name VIRGINIA STEVENSON		
Street address (including room or suite number) 61 LAGUNITA LAGUNA BEACH, CA 92651		
City, state, and ZIP code		
If you are not using a preprinted label, enter in box 1 or 2 below the identification number you used as the filer on the information returns being transmitted. Do not fill in both boxes 1 and 2.		Name of person to contact if the IRS needs more information Telephone number (714) 261-7381
1 Employer identification number 5-6737		2 City number 5-6737
3 Total number of forms 1		4 Federal income tax withheld \$ 0
5 Total amount reported with this Form 1096 \$ 4130.00		For Official Use Only <div style="border: 1px solid black; width: 100px; height: 30px; margin: 0 auto;"></div>
Enter an "X" in only one box below to indicate the type of form being filed. If this is your FINAL return, enter an "X" here <input type="checkbox"/>		
W-2G 22 <input type="checkbox"/>	1098 81 <input type="checkbox"/>	1099-A 80 <input type="checkbox"/>
1099-B 79 <input type="checkbox"/>	1099-C 85 <input type="checkbox"/>	1099-DIV 91 <input type="checkbox"/>
1099-G 86 <input type="checkbox"/>	1099-INT 92 <input checked="" type="checkbox"/>	1099-MISC 95 <input type="checkbox"/>
1099-OLD 96 <input type="checkbox"/>	1099-PATR 97 <input type="checkbox"/>	1099-R 98 <input type="checkbox"/>
1099-S 75 <input type="checkbox"/>	5498 28 <input type="checkbox"/>	

Please return this entire page to the Internal Revenue Service. Photocopies are NOT acceptable.

Under penalties of perjury, I declare that I have examined this return and accompanying documents, and, to the best of my knowledge and belief, they are true, correct, and complete.

Signature ►

Title ►

Date ►

Instructions

Purpose of Form.—Use this form to transmit paper Forms 1099, 1098, 5498, and W-2G to the Internal Revenue Service. **DO NOT USE FORM 1096 TO TRANSMIT MAGNETIC MEDIA.** See Form 4804, Transmittal of Information Returns Reported Magnetically/Electronically.

Use of Preprinted Label.—If you received a preprinted label from the IRS with Package 1099, place the label in the name and address area of this form inside the brackets. Make any necessary changes to your name and address on the label. However, do not use the label if the taxpayer identification number (TIN) shown is incorrect. **Do not prepare your own label. Use only the IRS-prepared label that came with your Package 1099.**

If you are not using a preprinted label, enter the filer's name, address (including room, suite, or other unit number), and TIN in the spaces provided on the form.

Filer.—The name, address, and TIN of the filer on this form must be the same as those you enter in the upper left area of Form 1099, 1098, 5498, or W-2G. A filer includes a payer, a recipient of mortgage interest payments (including points), a broker, a barter exchange, a creditor, a person reporting real estate transactions, a trustee or issuer of an individual retirement arrangement (including an IRA or SEP), and a lender who acquires an interest in secured property or who has reason to know that the property has been abandoned.

Transmitting to the IRS.—Send the forms in a flat mailing (not folded). Group the forms by form number and transmit each group with a separate Form 1096. For example, if you must file both Forms 1098 and 1099-A, complete one Form 1096 to transmit your Forms 1098 and another Form 1096 to transmit your Forms 1099-A. You need not submit original and corrected returns separately.

Box 1 or 2.—Complete only if you are not using a preprinted IRS label. Individuals not in a trade or business must enter their social security number in box 2; sole proprietors and all others must enter their employer identification number in box 1. However, sole proprietors who do not have an employer identification number must enter their social security number in box 2.

Box 3.—Enter the number of forms you are transmitting with this Form 1096. Do not include blank or voided forms or the Form 1096 in your total. Enter the number of correctly completed forms, not the number of pages, being transmitted. For example, if you send one page of three-to-a-page Forms 5498 with a Form 1096 and you have correctly completed two Forms 5498 on that page, enter "2" in box 3 of Form 1096.

Box 4.—Enter the total Federal income tax withheld shown on the forms being transmitted with this Form 1096.

Box 5.—No entry is required if you are filing Form 1099-A or 1099-G. For all other forms, enter the total of the amounts from the specific boxes of the forms listed below:

Form W-2G	Box 1
Form 1098	Boxes 1 and 2
Form 1099-B	Boxes 2 and 3
Form 1099-C	Box 2
Form 1099-DIV	Boxes 1a, 5, and 6
Form 1099-INT	Boxes 1 and 3
Form 1099-MISC	Boxes 1, 2, 3, 5, 6, 7, 8, and 10
Form 1099-OLD	Boxes 1 and 2
Form 1099-PATR	Boxes 1, 2, 3, and 5
Form 1099-R	Box 1
Form 1099-S	Box 2
Form 5498	Boxes 1 and 2

For more information and the Paperwork Reduction Act Notice, see the Instructions for Forms 1099, 1098, 5498, and W-2G. Form **1096** (1995)

VIRGINIA STEVENSON ATHERTON

61 LAGUNITA
LAGUNA BEACH, CA 92651

VIRGINIA ATHERTON
714 261-7381

-6737

1

-0-

4,130.00

X

Please return this entire page to the Internal Revenue Service. Photocopies are NOT acceptable.

Under penalties of perjury, I declare that I have examined this return and accompanying documents, and, to the best of my knowledge and belief, they are true, correct, and complete.

Signature ▶

Virginia S. Atherton Title ▶

Date ▶ 2/15/96

Instructions

Purpose of Form.—Use this form to transmit paper Forms 1099, 1098, 5498, and W-2G to the Internal Revenue Service. DO NOT USE FORM 1096 TO TRANSMIT MAGNETIC MEDIA. See Form 4804, Transmittal of Information Returns Reported Magnetically/Electronically.

Use of Preprinted Label.—If you received a preprinted label from the IRS with Package 1099, place the label in the name and address area of this form inside the brackets. Make any necessary changes to your name and address on the label. However, do not use the label if the taxpayer identification number (TIN) shown is incorrect. **Do not prepare your own label. Use only the IRS-prepared label that came with your Package 1099.**

If you are not using a preprinted label, enter the filer's name, address (including room, suite, or other unit number), and TIN in the spaces provided on the form.

Filer.—The name, address, and TIN of the filer on this form must be the same as those you enter in the upper left area of Form 1099, 1098, 5498, or W-2G. A filer includes a payer, a recipient of mortgage interest payments (including points), a broker, a barter exchange, a creditor, a person reporting real estate transactions, a trustee or issuer of an individual retirement arrangement (including an IRA or SEP), and a lender who acquires an interest in secured property or who has reason to know that the property has been abandoned.

Transmitting to the IRS.—Send the forms in a flat mailing (not folded). Group the forms by form number and transmit each group with a separate Form 1096. For example, if you must file both Forms 1098 and 1099-A, complete one Form 1096 to transmit your Forms 1098 and another Form 1096 to transmit your Forms 1099-A. You need not submit original and corrected returns separately.

Box 1 or 2.—Complete only if you are not using a preprinted IRS label. Individuals not in a trade or business must enter their social security number in box 2; sole proprietors and all others must enter their employer identification number in box 1. However, sole proprietors who do not have an employer identification number must enter their social security number in box 2.

Box 3.—Enter the number of forms you are transmitting with this Form 1096. Do not include blank or voided forms or the Form 1096 in your total. Enter the number of correctly completed forms, not the number of pages, being transmitted. For example, if you send one page of three-to-a-page Forms 5498 with a Form 1096 and you have correctly completed two Forms 5498 on that page, enter "2" in box 3 of Form 1096.

Box 4.—Enter the total Federal income tax withheld shown on the forms being transmitted with this Form 1096.

Box 5.—No entry is required if you are filing Form 1099-A or 1099-G. For all other forms, enter the total of the amounts from the specific boxes of the forms listed below:

Form W-2G	Box 1
Form 1098	Boxes 1 and 2
Form 1099-B	Boxes 2 and 3
Form 1099-C	Box 2
Form 1099-DIV	Boxes 1a, 5, and 6
Form 1099-INT	Boxes 1 and 3
Form 1099-MISC	Boxes 1, 2, 3, 5, 6, 7, 8, and 10
Form 1099-OID	Boxes 1 and 2
Form 1099-PATR	Boxes 1, 2, 3, and 5
Form 1099-R	Box 1
Form 1099-S	Box 2
Form 5498	Boxes 1 and 2

For more information and the Paperwork Reduction Act Notice, see the Instructions for Forms 1099, 1098, 5498, and W-2G. Form 1096 (1995)

PAYER'S name, street address, city, state, and ZIP code VIRGINIA STEVENSON ATHLETIC 61 LAGUNITA LAGUNA BEACH, CA 92651		Payer's RTN (optional)	OMB No. 1545-0112 1995 Form 1099-INT	Interest Income Copy C For Payer For Paperwork Reduction Act Notice and instructions for completing this form, see Instructions for Forms 1099, 1098, 5498, and W-2G.
PAYER'S Federal identification number 6737	RECIPIENT'S identification number 1864	1 Interest income not included in Box 3 \$		
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code LEONARD A. VALENTINE 22651 COTTONWOOD MISSION VIEJO, CA 92692		2 Early withdrawal penalty \$	3 Interest on U.S. Savings Bonds and Treas. obligations \$	
Account number (optional)		4 Federal income tax withheld \$ -0-	5 Foreign tax paid \$ -0-	
2nd TIN Not. <input type="checkbox"/>		6 Foreign country or U.S. possession		

Form **1099-INT** Department of the Treasury - Internal Revenue Service

☐ VOID ☐ CORRECTED

PAYER'S name, street address, city, state, and ZIP code		Payer's RTN (optional)	OMB No. 1545-0112 1995 Form 1099-INT	Interest Income Copy C For Payer For Paperwork Reduction Act Notice and instructions for completing this form, see Instructions for Forms 1099, 1098, 5498, and W-2G.
PAYER'S Federal identification number	RECIPIENT'S identification number	1 Interest income not included in Box 3 \$		
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code		2 Early withdrawal penalty \$	3 Interest on U.S. Savings Bonds and Treas. obligations \$	
Account number (optional)		4 Federal income tax withheld \$	5 Foreign tax paid \$	
2nd TIN Not. <input type="checkbox"/>		6 Foreign country or U.S. possession		

Form **1099-INT** Department of the Treasury - Internal Revenue Service

☐ VOID ☐ CORRECTED

PAYER'S name, street address, city, state, and ZIP code		Payer's RTN (optional)	OMB No. 1545-0112 1995 Form 1099-INT	Interest Income Copy C For Payer For Paperwork Reduction Act Notice and instructions for completing this form, see Instructions for Forms 1099, 1098, 5498, and W-2G.
PAYER'S Federal identification number	RECIPIENT'S identification number	1 Interest income not included in Box 3 \$		
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code		2 Early withdrawal penalty \$	3 Interest on U.S. Savings Bonds and Treas. obligations \$	
Account number (optional)		4 Federal income tax withheld \$	5 Foreign tax paid \$	
2nd TIN Not. <input type="checkbox"/>		6 Foreign country or U.S. possession		

Form **1099-INT** Department of the Treasury - Internal Revenue Service

LEONARD & CYNTHIA VALENTINE

STATEMENT OF ACCOUNT

12/31/96

Balance @ 12/31/95		\$54,130.00
Interest @ 16.95% to 3/31/96	<u>2,293.75</u>	\$56,423.75
Interest @ 16.54% to 6/31/96	<u>2,333.12</u>	58,756.87
Deposit 7/1/96	<u>50,000.00</u>	108,756.87
Interest @ 17.14% to 9/30/96	<u>4,660.23</u>	113,317.10
Interest @ 17.57% to 12/31/96	<u>4,977.45</u>	
Account Total		118,294.55
Attached 1099 INT for year	\$14,264.55	

VIRGINIA H. ATHERTON

(Monies invested w/ Bernard Madoff)

DO NOT STAPLE

Pg 17 of 56

FILE COPY

Form 1096 Department of the Treasury Internal Revenue Service	Annual Summary and Transmittal of U.S. Information Returns	OMB No. 1545-0108 1996
FILER'S name, Street address (including room or suite number), City, State and Zip code <div style="text-align: center; font-weight: bold;">VIRGINIA STEVENSON ATHERTON</div> <div style="text-align: center; font-weight: bold;">61 LAGUNITA</div> <div style="text-align: center; font-weight: bold;">LAGUNA BEACH, CA 92651</div>		
If you are not using a preprinted label, enter in box 1 or 2 below the identification number you used as the filer on the information returns being transmitted. Do not fill in both boxes 1 and 2.		Name of person to contact if the IRS needs more information VIRGINIA ATHERTON Telephone number (714) 497-1904
1 Employer identification number	2 Social security number <div style="text-align: center;">-6737</div>	3 Total number of forms <div style="text-align: center;">1</div>
4 Federal income tax withheld <div style="text-align: center;">\$ 0</div>		5 Total amount reported with this Form 1096 <div style="text-align: center;">\$ 14,264.55</div>
Enter an "X" in only one box below to indicate the type of form being filed.		
W-2G 32 <input type="checkbox"/>	1098 81 <input type="checkbox"/>	1099-A 80 <input type="checkbox"/>
1099-B 79 <input type="checkbox"/>	1099-C 85 <input type="checkbox"/>	1099-DIV 91 <input type="checkbox"/>
1099-G 86 <input type="checkbox"/>	1099-INT 92 <input checked="" type="checkbox"/>	1099-MISC 95 <input type="checkbox"/>
1099-OLD 96 <input type="checkbox"/>	1099-PATR 97 <input type="checkbox"/>	1099-R 98 <input type="checkbox"/>
1099-S 75 <input type="checkbox"/>	5498 28 <input type="checkbox"/>	

Please return this entire page to the Internal Revenue Service. Photocopies are NOT acceptable.

Under penalties of perjury, I declare that I have examined this return and accompanying documents, and, to the best of my knowledge and belief, they are true, correct, and complete.

Signature ►

Title ►

Date ►

Instructions

Purpose of Form.—Use this form to transmit paper Forms 1099, 1098, 5498, and W-2G to the Internal Revenue Service. (See **Where To File on the back.**) DO NOT USE FORM 1096 TO TRANSMIT MAGNETIC MEDIA. See **Form 4804**, Transmittal of Information Returns Reported Magnetically/Electronically.

Use of Preprinted Label.—If you received a preprinted label from the IRS with Package 1099, place the label in the name and address area of this form inside the brackets. Make any necessary changes to your name and address on the label. However, do not use the label if the taxpayer identification number (TIN) shown is incorrect. **Do not prepare your own label. Use only the IRS-prepared label that came with your Package 1099.**

If you are not using a preprinted label, enter the filer's name, address (including room, suite, or other unit number), and TIN in the spaces provided on the form.

Filer.—The name, address, and TIN of the filer on this form must be the same as those you enter in the upper left area of Form 1099, 1098, 5498, or W-2G. A filer includes a payer, a recipient of mortgage interest payments (including points), a broker, a barter exchange, a creditor, a person reporting real estate transactions, a trustee or issuer of an individual retirement arrangement (including an IRA or SEP), and a lender who acquires an interest in secured property or who has reason to know that the property has been abandoned.

Transmitting to the IRS.—Send the forms in a flat mailing (not folded). Group the forms by form number and transmit each group with a **separate** Form 1096. For example, if you must file both Forms 1098 and 1099-A, complete one Form 1096 to transmit your Forms 1098 and another Form 1096 to transmit your Forms 1099-A. You need not submit original and corrected returns separately.

Box 1 or 2.—Complete only if you are not using a preprinted IRS label. Individuals not in a trade or business must enter their social security number in box 2; sole proprietors and all others must enter their employer identification number in box 1. However, sole proprietors who do not have an employer identification number must enter their social security number in box 2.

Box 3.—Enter the number of forms you are transmitting with this Form 1096. Do not include blank or voided forms or the Form 1096 in your total. Enter the number of correctly completed forms, not the number of pages, being transmitted. For example, if you send one page of three-to-a-page Forms 5498 with a Form 1096 and you have correctly completed two Forms 5498 on that page, enter "2" in box 3 of Form 1096.

Box 4.—Enter the total Federal income tax withheld shown on the forms being transmitted with this Form 1096.

Box 5.—No entry is required if you are filing Form 1099-A or 1099-G. For all other forms, enter the total of the amounts from the specific boxes of the forms listed below:

Form W-2G	Box 1
Form 1098	Boxes 1 and 2
Form 1099-B	Boxes 2 and 3
Form 1099-C	Box 2
Form 1099-DIV	Boxes 1a, 5, and 6
Form 1099-INT	Boxes 1 and 3
Form 1099-MISC	Boxes 1, 2, 3, 5, 6, 7, 8, and 10
Form 1099-OLD	Boxes 1 and 2
Form 1099-PATR	Boxes 1, 2, 3, and 5
Form 1099-R	Box 1
Form 1099-S	Box 2
Form 5498	Boxes 1 and 2

PAYER'S name, street address, city, state, and ZIP code VIRGINIA STEVENSON ACHERTON 61 LAGUNITA LAGUNA BEACH, CA 92651		Payer's RTN (optional)	OMB No. 1545-0112 1996 Form 1099-INT	Interest Income Copy C For Payer For Paperwork Reduction Act Notice and instructions for completing this form, see Instructions for Forms 1099, 1098, 5498, and W-2G.
PAYER'S Federal identification number 2-6737	RECIPIENT'S identification number 364-56-1864	1 Interest income not included in Box 3 \$ XXXX 14,264.55		
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code LEONARD A. VALENTINE 22651 COTTONWOOD MISSION VIEJO, CA 92692		2 Early withdrawal penalty \$	3 Interest on U.S. Savings Bonds and Treas. obligations \$	
Account number (optional)		4 Federal income tax withheld \$	6 Foreign country or U.S. possession	
2nd TIN Not. <input type="checkbox"/>		5 Foreign tax paid \$		

Form **1099-INT** Department of the Treasury - Internal Revenue Service

☐ VOID ☐ CORRECTED

PAYER'S name, street address, city, state, and ZIP code VIRGINIA STEVENSON ACHERTON 61 LAGUNITA LAGUNA BEACH, CA 92651		Payer's RTN (optional)	OMB No. 1545-0112 1996 Form 1099-INT	Interest Income Copy C For Payer For Paperwork Reduction Act Notice and instructions for completing this form, see Instructions for Forms 1099, 1098, 5498, and W-2G.
PAYER'S Federal identification number 2-6737	RECIPIENT'S identification number 364-56-1864	1 Interest income not included in Box 3 \$ 14,264.55		
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code LEONARD A. VALENTINE 22651 COTTONWOOD MISSION VIEJO, CA 92692		2 Early withdrawal penalty \$	3 Interest on U.S. Savings Bonds and Treas. obligations \$	
Account number (optional)		4 Federal income tax withheld \$	6 Foreign country or U.S. possession	
2nd TIN Not. <input type="checkbox"/>		5 Foreign tax paid \$		

Form **1099-INT** Department of the Treasury - Internal Revenue Service

☐ VOID ☐ CORRECTED

PAYER'S name, street address, city, state, and ZIP code		Payer's RTN (optional)	OMB No. 1545-0112 1996 Form 1099-INT	Interest Income Copy C For Payer For Paperwork Reduction Act Notice and instructions for completing this form, see Instructions for Forms 1099, 1098, 5498, and W-2G.
PAYER'S Federal identification number	RECIPIENT'S identification number	1 Interest income not included in Box 3 \$		
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code		2 Early withdrawal penalty \$	3 Interest on U.S. Savings Bonds and Treas. obligations \$	
Account number (optional)		4 Federal income tax withheld \$	6 Foreign country or U.S. possession	
2nd TIN Not. <input type="checkbox"/>		5 Foreign tax paid \$		

Form **1099-INT** Department of the Treasury - Internal Revenue Service

LEONARD & CYNTHIA VALENTINE
STATEMENT OF ACCOUNT
12/31/97

Balance @ 12/31/96		\$118,294.55
Interest @ 21.04% to 3/31/97	<u>6,222.00</u>	124,516.55
Interest @ 24.08% to 6/30/97	<u>7,497.00</u>	132,013.55
Interest @ 21.46% to 9/30/97	<u>7,083.00</u>	139,096.55
Interest @ 20.27% to 12/31/97	<u>7,050.00</u>	
Account Total		146,146.55
Attached 1099 INT for year 1997	\$27,852.00	

Virginia H. Atherton

(Monies invested w/ Bernard Madoff Investment Securities
885 Third Avenue
New York, NY 10022

PAYER'S name, street address, city, state, ZIP code, and telephone no.		Payer's RTN (optional)	OMB No. 1545-0112	1997	Interest Income
VIRGINIA STEVENSON ATHERTON 61 LAGUNITA LAGUNA BEACH, CA 92651					
PAYER'S Federal identification number	RECIPIENT'S identification number	Form 1099-INT			State Copy
-6737	364-56-18 64	1 Interest income not included in box 3 \$ 27,852.00			
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code		2 Early withdrawal penalty	3 Interest on U.S. Savings Bonds and Treas. obligations		
LEONARD A. VALENTINE 22651 COTTONWOOD		\$	\$		
MISSION VIEJO, CA 92692		4 Federal income tax withheld \$ -0-			
Account number (optional)		5 Foreign tax paid -0-			6 Foreign country or U.S. possession
		\$			

Form 1099-INT

Department of the Treasury - Internal Revenue Service

☐ VOID ☐ CORRECTED

PAYER'S name, street address, city, state, ZIP code, and telephone no.		Payer's RTN (optional)	OMB No. 1545-0112	1997	Interest Income
PAYER'S Federal identification number	RECIPIENT'S identification number	Form 1099-INT			State Copy
		1 Interest income not included in box 3 \$			
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code		2 Early withdrawal penalty	3 Interest on U.S. Savings Bonds and Treas. obligations		
		\$	\$		
		4 Federal income tax withheld \$			
Account number (optional)		5 Foreign tax paid			6 Foreign country or U.S. possession
		\$			

Form 1099-INT

Department of the Treasury - Internal Revenue Service

☐ VOID ☐ CORRECTED

PAYER'S name, street address, city, state, ZIP code, and telephone no.		Payer's RTN (optional)	OMB No. 1545-0112	1997	Interest Income
PAYER'S Federal identification number	RECIPIENT'S identification number	Form 1099-INT			State Copy
		1 Interest income not included in box 3 \$			
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code		2 Early withdrawal penalty	3 Interest on U.S. Savings Bonds and Treas. obligations		
		\$	\$		
		4 Federal income tax withheld \$			
Account number (optional)		5 Foreign tax paid			6 Foreign country or U.S. possession
		\$			

Form 1099-INT

Department of the Treasury - Internal Revenue Service

Pg 21 of 56		OMB No. 1545-0108	
Form 1096 Department of the Treasury Internal Revenue Service	Annual Summary and Transmittal of U.S. Information Returns		1997
FILER'S name, Street address (including room or suite number), City, State and Zip code <div style="text-align: center; padding: 10px;"> VIRGINIA STEVENSON ATHERTON 61 LAGUNITA LAGUNA BEACH, CA 92651 </div>			
If you are not using a preprinted label, enter in box 1 or 2 below the identification number you used as the filer on the information returns being transmitted. Do not fill in both boxes 1 and 2.		Name of person to contact if the IRS needs more information VIRGINIA ATHERTON Telephone number (714) 497-1904	
1. Employer identification number	2. Social security number -6737	3. Total number of forms 1	4. Federal income tax withheld \$ -0-
		5. Total amount reported with this Form 1096 \$27,852.00	
Enter an "X" in only one box below to indicate the type of form being filed.			
W-2G 32 <input type="checkbox"/>	1098 81 <input type="checkbox"/>	1099-A 80 <input type="checkbox"/>	1099-B 79 <input type="checkbox"/>
1099-C 85 <input type="checkbox"/>	1099-DIV 91 <input type="checkbox"/>	1099-G 86 <input type="checkbox"/>	1099-INT 92 <input checked="" type="checkbox"/>
1099-LTC 93 <input type="checkbox"/>	1099-MISC 95 <input type="checkbox"/>	1099-MSA 94 <input type="checkbox"/>	1099-OLD 96 <input type="checkbox"/>
1099-PATR 97 <input type="checkbox"/>	1099-R 98 <input type="checkbox"/>		
1099-S 75 <input type="checkbox"/>	5498 28 <input type="checkbox"/>	5498-MSA 27 <input type="checkbox"/>	

Please return this entire page to the Internal Revenue Service. Photocopies are NOT acceptable.

Under penalties of perjury, I declare that I have examined this return and accompanying documents, and, to the best of my knowledge and belief, they are true, correct, and complete.

Signature ▶

Virginia D. Atherton

Date ▶ **1/3/98**

Instructions

Purpose of Form.—Use this form to transmit paper Forms 1099, 1098, 5498, and W-2G to the Internal Revenue Service. (See **Where To File on the back.**) **DO NOT USE FORM 1096 TO TRANSMIT MAGNETIC MEDIA.** See **Form 4804**, Transmittal of Information Returns Reported Magnetically/Electronically.

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Filer.—The name, address, and TIN of the filer on this form must be the same as those you enter in the upper left area of Form 1099, 1098, 5498, or W-2G. A filer includes a payer, a recipient of mortgage interest payments (including points), a broker, a barter exchange, a creditor, a person reporting real estate transactions, a trustee or issuer of an individual retirement arrangement (including an IRA, SEP, or SIMPLE) or a medical savings account, and a lender who acquires an interest in secured property or who has reason to know that the property has been abandoned.

Transmitting to the IRS.—Send the forms in a flat mailing (not folded). Group the forms by form number and transmit each group with a **separate** Form 1096. For example, if you must file both Forms 1098 and 1099-A, complete one Form 1096 to transmit your Forms 1098 and another Form 1096 to transmit your Forms 1099-A. You need not submit original and corrected returns separately. **Do not** send a form (1099, 5498, etc.) containing summary (subtotal) information with Form 1096. Summary information for the group of forms being sent is entered only in boxes 3, 4, and 5 of Form 1096.

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Box 3.—Enter the number of forms you are transmitting with this Form 1096. Do not include blank or voided forms or the Form 1096 in your total. Enter the number of correctly completed forms, not the number of pages, being transmitted. For example, if you send one page of three-to-a-page Forms 5498 with a Form 1096 and you have correctly completed two Forms 5498 on that page, enter "2" in box 3 of Form 1096.

Box 4.—Enter the total Federal income tax withheld shown on the forms being transmitted with this Form 1096.

LEONARD & CYNTHIA VALENTINE
STATEMENT OF ACCOUNT
12/31/98

Balance at 12/31/97		\$146,146.55
Interest @ 20.50% to 3/31/98	<u>7,490.00</u>	153,636.55
Interest @ 21.04% to 6/30/98	<u>8,081.28</u>	161,717.84
Interest @ 18.14% to 9/30/98	<u>7,293.47</u>	169,011.31
Interest @ 19.43% to 12/31/98	<u>8,209.72</u>	177,221.03
Account Total		
Attached 1099 Int for year 1998	\$31,074.47	

Virginia H. Atherton

Monies invested w/ Bernard Madoff Investment Securities
885 Third Avenue
New York, NY 10022

PAYER'S name, street address, city, state, ZIP code, and telephone no. VIRGINIA STEVENSON AHERTON 61 LAGUNITA LAGUNA BEACH, CA 92654 92651		Payer's RTN (optional)	OMB No. 1545-0112 1998 Form 1099-INT	Interest Income Copy C For Payer For Paperwork Reduction Act Notice and instructions for completing this form, see the 1998 Instructions for Forms 1099, 1098, 5498, and W-2G.
PAYER'S Federal identification number -6737	RECIPIENT'S identification number 364-56-1864	1 Interest income not included in box 3 \$ 31,074.00		
RECIPIENT'S name LEONARD A. VALENTINE		2 Early withdrawal penalty \$	3 Interest on U.S. Savings Bonds and Treas. obligations \$	
Street address (including apt. no.) 22651 Cottonwood		4 Federal income tax withheld \$ -0-	5 Foreign tax paid -0-	
City, state, and ZIP code Mission Viejo, CA 92692		6 Foreign country or U.S. possession		
Account number (optional)		2nd TIN Not. <input type="checkbox"/>		

Form **1099-INT**

Department of the Treasury - Internal Revenue Service

☐ VOID ☐ CORRECTED

PAYER'S name, street address, city, state, ZIP code, and telephone no.		Payer's RTN (optional)	OMB No. 1545-0112 1998 Form 1099-INT	Interest Income Copy C For Payer For Paperwork Reduction Act Notice and instructions for completing this form, see the 1998 Instructions for Forms 1099, 1098, 5498, and W-2G.
PAYER'S Federal identification number	RECIPIENT'S identification number	1 Interest income not included in box 3 \$		
RECIPIENT'S name		2 Early withdrawal penalty \$	3 Interest on U.S. Savings Bonds and Treas. obligations \$	
Street address (including apt. no.)		4 Federal income tax withheld \$	5 Foreign tax paid \$	
City, state, and ZIP code		6 Foreign country or U.S. possession		
Account number (optional)		2nd TIN Not. <input type="checkbox"/>		

Form **1099-INT**

Department of the Treasury - Internal Revenue Service

☐ VOID ☐ CORRECTED

PAYER'S name, street address, city, state, ZIP code, and telephone no.		Payer's RTN (optional)	OMB No. 1545-0112 1998 Form 1099-INT	Interest Income Copy C For Payer For Paperwork Reduction Act Notice and instructions for completing this form, see the 1998 Instructions for Forms 1099, 1098, 5498, and W-2G.
PAYER'S Federal identification number	RECIPIENT'S identification number	1 Interest income not included in box 3 \$		
RECIPIENT'S name		2 Early withdrawal penalty \$	3 Interest on U.S. Savings Bonds and Treas. obligations \$	
Street address (including apt. no.)		4 Federal income tax withheld \$	5 Foreign tax paid \$	
City, state, and ZIP code		6 Foreign country or U.S. possession		
Account number (optional)		2nd TIN Not. <input type="checkbox"/>		

Form **1099-INT**

Department of the Treasury - Internal Revenue Service

LEONARD & CYNTHIA VALENTINE

STATEMENT OF ACCOUNT

12/31/99

Balance at 12/31/98		\$177,221.03
Interest @ 18.31% to 3/31/99	<u>8,067.98</u>	185,289.01
Interest @ 21.15% to 6/30/99	<u>11,001.53</u>	196,290.54
Interest @ 20.85% to 9/30/99	<u>10,231.64</u>	206,522.18
Interest @ 20.13% to 12/31/99	<u>10,419.04</u>	216,941.22
	<u>\$39,720.19</u>	

Virginia W. Atherton

Monies invested w/ Bernard Madoff Invest. Secs.
885 Third Avenue
New York, NY 10022

1099 Interest Form enclosed for year 1999

EDWARD & CHRISTINA VALENTINE

STATEMENT OF ACCOUNT

12/31/99

Balance			117,201.00
Interest	18.21% 3/31/99	2,062.02	119,263.02
	23.75% 6/30/99	21,201.53	140,464.55
Interest	20.88% 9/30/99	10,231.64	150,696.19
Interest	20.18% 12/31/99	10,419.04	161,115.23
		39,720.19	200,835.42

Virginia M. Atherton

Monica Investment Co/ Bernard Madoff Invest. Secs.
985 Third Avenue
New York, NY 10022

1999 Interest: Perm enclosed for year 1999

☐ VOID ☐ CORRECTED **Page 26 of 56**

PAYER'S name, street address, city, state, ZIP code, and telephone no. VIRGINIA STEVENSON AETHERION 61 LAGUNITA LAGUNA BEACH, CA 92651		Payer's RTN (optional) 	OMB No. 1545-0112 <div style="font-size: 2em; font-weight: bold; text-align: center;">1999</div>	Interest Income
PAYER'S Federal identification number -6737		RECIPIENT'S identification number 364-56-1864		1 Interest income not included in box 3 \$ 32,720.00
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code LEONARD A. VALENTINE 22651 Cottonwood Mission Viejo, CA 92692		2 Early withdrawal penalty \$	3 Interest on U.S. Savings Bonds and Treas. obligations \$	Copy C For Payer For Privacy Act and Paperwork Reduction Act Notice and instructions for completing this form, see the 1999 Instructions for Forms 1099, 1098, 5498, and W-2G.
Account number (optional)		4 Federal income tax withheld \$ - 0 -	5 Investment expenses \$	
2nd TIN Not. <input type="checkbox"/>		6 Foreign tax paid \$	7 Foreign country or U.S. possession	
Form 1099-INT		Department of the Treasury - Internal Revenue Service		

☐ VOID ☐ CORRECTED

PAYER'S name, street address, city, state, ZIP code, and telephone no.		Payer's RTN (optional)	OMB No. 1545-0112 <div style="font-size: 2em; font-weight: bold; text-align: center;">1999</div>	Interest Income
PAYER'S Federal identification number		RECIPIENT'S identification number		1 Interest income not included in box 3 \$
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code		2 Early withdrawal penalty \$	3 Interest on U.S. Savings Bonds and Treas. obligations \$	Copy C For Payer For Privacy Act and Paperwork Reduction Act Notice and instructions for completing this form, see the 1999 Instructions for Forms 1099, 1098, 5498, and W-2G.
Account number (optional)		4 Federal income tax withheld \$	5 Investment expenses \$	
2nd TIN Not. <input type="checkbox"/>		6 Foreign tax paid \$	7 Foreign country or U.S. possession	
Form 1099-INT		Department of the Treasury - Internal Revenue Service		

☐ VOID ☐ CORRECTED

PAYER'S name, street address, city, state, ZIP code, and telephone no.		Payer's RTN (optional)	OMB No. 1545-0112 <div style="font-size: 2em; font-weight: bold; text-align: center;">1999</div>	Interest Income
PAYER'S Federal identification number		RECIPIENT'S identification number		1 Interest income not included in box 3 \$
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code		2 Early withdrawal penalty \$	3 Interest on U.S. Savings Bonds and Treas. obligations \$	Copy C For Payer For Privacy Act and Paperwork Reduction Act Notice and instructions for completing this form, see the 1999 Instructions for Forms 1099, 1098, 5498, and W-2G.
Account number (optional)		4 Federal income tax withheld \$	5 Investment expenses \$	
2nd TIN Not. <input type="checkbox"/>		6 Foreign tax paid \$	7 Foreign country or U.S. possession	
Form 1099-INT		Department of the Treasury - Internal Revenue Service		

VIRGINIA STEVENSON ATHERTON

61 LAGUNIA

LAGUNA BEACH, CA 92651

VIRGINIA ATHERTON

949 497-1904

2-6737

1

-0-

31,074.00

X

Please return this entire page to the Internal Revenue Service. Photocopies are NOT acceptable.

Under penalties of perjury, I declare that I have examined this return and accompanying documents, and, to the best of my knowledge and belief, they are true, correct, and complete.

Signature ▶

Virginia A. Atherton

Title ▶

Date ▶ 1/3/99

Instructions

Purpose of form. Use this form to transmit paper Forms 1099, 1098, 5498, and W-2G to the Internal Revenue Service. (See *Where To File on the back*.) DO NOT USE FORM 1096 TO TRANSMIT MAGNETIC MEDIA. See Form 4804, Transmittal of Information Returns Reported Magnetically/Electronically.

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Filer. The name, address, and TIN of the filer on this form must be the same as those you enter in the upper left area of Form 1099, 1098, 5498, or W-2G. A filer includes a payer, a recipient of mortgage interest payments (including points) or student loan interest, an educational institution, a broker, a barter exchange, a creditor, a person reporting real estate transactions, a trustee or issuer of any individual retirement arrangement or a medical savings account, and a lender who acquires an interest in secured property or who has reason to know that the property has been abandoned.

Transmitting to the IRS. Send the forms in a flat mailing (not folded). Group the forms by form number and transmit each group with a separate Form 1096. For example, if you must file both Forms 1098 and 1099-A, complete one Form 1096 to transmit your Forms 1098 and another Form 1096 to transmit your Forms 1099-A. You need not submit original and corrected returns separately. Do not send a form (1099, 5498, etc.) containing summary (subtotal) information with Form 1096. Summary information for the group of forms being sent is entered only in boxes 3, 4, and 5 of Form 1096.

Box 1 or 2. Complete only if you are not using a preprinted IRS label. Individuals not in a trade or business must enter their social security number in box 2; sole proprietors and all others must enter their employer identification number in box 1. However, sole proprietors who do not have an employer identification number must enter their social security number in box 2.

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Box 4. Enter the total Federal income tax withheld shown on the forms being transmitted with this Form 1096.

Form 1096 Department of the Treasury Internal Revenue Service	Pg 28 of 56 Annual Summary and Transmittal of U.S. Information Returns	OMB No. 1545-0108 <div style="font-size: 2em; font-weight: bold;">1999</div>
FILER'S name <div style="text-align: center; font-family: monospace;">VIRGINIA STEVENSON ATHERTON</div> Street address (including room or suite number) <div style="text-align: center; font-family: monospace;">61 LAGUNITA</div> City, state, and ZIP code <div style="text-align: center; font-family: monospace;">LAGUNA BEACH, CA 92651</div>		
If you are not using a preprinted label, enter in box 1 or 2 below the identification number you used as the filer on the information returns being transmitted. Do not fill in both boxes 1 and 2.		Name of person to contact if the IRS needs more information Telephone number () - - - - -
1 Employer identification number 2 Social security number <div style="text-align: center; font-family: monospace;">6737</div>		3 Total number of forms 4 Federal income tax withheld 5 Total amount reported with this Form 1096 <div style="text-align: center; font-family: monospace;">1 \$ -0- \$ 32,720.00</div>
Enter an "X" in only one box below to indicate the type of form being filed. If this is your FINAL return, enter an "X" here <input type="checkbox"/>		
W-2G 32 <input type="checkbox"/>	1098 81 <input type="checkbox"/>	1098-E 84 <input type="checkbox"/>
1098-T 83 <input type="checkbox"/>	1099-A 80 <input type="checkbox"/>	1099-B 79 <input type="checkbox"/>
1099-C 85 <input type="checkbox"/>	1099-DIV 91 <input type="checkbox"/>	1099-G 86 <input type="checkbox"/>
1099-INT 92 <input checked="" type="checkbox"/>	1099-LTC 93 <input type="checkbox"/>	1099-MISC 95 <input type="checkbox"/>
1099-MSA 94 <input type="checkbox"/>	1099-OLD 96 <input type="checkbox"/>	
1099-PATR 97 <input type="checkbox"/>	1099-R 98 <input type="checkbox"/>	1099-S 75 <input type="checkbox"/>
5498 28 <input type="checkbox"/>	5498-MSA 27 <input type="checkbox"/>	

Please return this entire page to the Internal Revenue Service. Photocopies are NOT acceptable.

Under penalties of perjury, I declare that I have examined this return and accompanying documents, and, to the best of my knowledge and belief, they are true, correct, and complete.

Signature ►

Title ►

Date ► 1/30/00

Instructions

Purpose of form. Use this form to transmit paper Forms 1099, 1098, 5498, and W-2G to the Internal Revenue Service. (See **Where To File on the back.**) DO NOT USE FORM 1096 TO TRANSMIT MAGNETIC MEDIA. See **Form 4804**, Transmittal of Information Returns Reported Electronically.

Use of preprinted label. If you received a preprinted label from the IRS with Package 1099, place the label in the name and address area of this form inside the brackets. Make any necessary changes to your name and address on the label. However, do not use the label if the taxpayer identification number (TIN) shown is incorrect. **Do not prepare your own label. Use only the IRS-prepared label that came with your Package 1099.**

If you are not using a preprinted label, enter the filer's name, address (including room, suite, or other unit number), and TIN in the spaces provided on the form.

Filer. The name, address, and TIN of the filer on this form must be the same as those you enter in the upper left area of Form 1099, 1098, 5498, or W-2G. A filer includes a payer, a recipient of mortgage interest payments (including points) or student loan interest, an educational institution, a broker, a barter exchange, a creditor, a person reporting real estate transactions, a trustee or issuer of any individual retirement arrangement or a medical savings account (MSA) (including a Medicare+Choice MSA), and a lender who acquires an interest in secured property or who has reason to know that the property has been abandoned.

Transmitting to the IRS. Send the forms in a flat mailing (not folded). Group the forms by form number and transmit each group with a **separate** Form 1096. For example, if you must file both Forms 1098 and 1099-A, complete one Form 1096 to transmit your Forms 1098 and another Form 1096 to transmit your Forms 1099-A. You need not submit original and corrected returns separately. **Do not** send a form (1099, 5498, etc.) containing summary (subtotal) information with Form 1096. Summary information for the group of forms being sent is entered only in boxes 3, 4, and 5 of Form 1096.

Box 1 or 2. Complete only if you are not using a preprinted IRS label. Individuals not in a trade or business must enter their social security number in box 2; sole proprietors and all others must enter their employer identification number in box 1. However, sole proprietors who do not have an employer identification number must enter their social security number in box 2.

Box 3. Enter the number of forms you are transmitting with this Form 1096. Do not include blank or voided forms or the Form 1096 in your total. Enter the number of correctly completed forms, not the number of pages, being transmitted. For example, if you send one page of three-to-a-page Forms 5498 with a Form 1096 and you have correctly completed two Forms 5498 on that page, enter "2" in box 3 of Form 1096.

Box 4. Enter the total Federal income tax withheld shown on the forms being transmitted with this Form 1096.

Leonard & Cynthia Valentine
Statement of Account
12/31/00

Balance at 1/1/00

203,987.17*

Income 3/31/00

11,298.12

215,285.29

Income 6/30/00

7,039.32

222,324.61

Income 9/30/00

5,090.53 5,090.53

227,415.14

Income 12/31/00

4,544.21

Account Balance @ 12/31/00

231,959.35 \$27,963.18

Options : 1099 for 2000 @ \$27,963.18
 1099 for 2000 @ 14,989.13

* Adjusted amount after deducting \$12,974.05
 for overstated amount claimed
 in prior years

Annual percentage return = 13.72%

☐ CORRECTED (if checked)

Page 30 of 56

PAYER'S name, street address, city, state, ZIP code, and telephone no. VIRGINIA H. ATHERTON 61 LAGUNITA LAGUNA BEACH, CA 92651		Payer's RTN (optional)	OMB No. 1545-0112 2000 Form 1099-INT	Interest Income
PAYER'S Federal identification number 6737	RECIPIENT'S identification number 364-56-1864	1 Interest income not included in box 3 \$ 14989.13		
RECIPIENT'S name LEONARD VALENTINE Street address (including apt. no.) 22651 COTTONWOOD City, state, and ZIP code MISSION VIEJO, CA 92692 Account number (optional)		2 Early withdrawal penalty \$	3 Interest on U.S. Savings Bonds and Treas. obligations \$	
		4 Federal income tax withheld \$	5 Investment expenses \$	
		6 Foreign tax paid \$	7 Foreign country or U.S. possession	

Copy B**For Recipient**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Form **1099-INT**

(Keep for your records.)

Department of the Treasury - Internal Revenue Service

Druffman Account Pg 31 of 56

02112 GREEN

02112 GREEN

72112 BUFF

Cynthia
J. Jones

1/1/00	20398717
1/1/00 W/D	-
3/31/00 INC	20398717
3/31/00 BAL	21528529
4/1/00 W/D	-
5/1/00 SAL	21528529
6/30/00 INC	* 703032
6/30/00 BAL	22231561
7/1/00 W/D	-
8/1/00 BAL	72731561
9/30/00 INC	* 509053
9/30/00 BAL	72740614
10/1/00 W/D	-
10/1/00 BAL	72740614
12/31/00 INC	* 454431
12/31/00 BAL	73195035

* INC \$ 27,963.18

PRIOR YRS

OVERSTATEMENT

(12,974.05)

14,989.13

w

Do Not Staple 6769 08-01789-cgm Doc 727 Filed 11/10/09 Entered 11/12/09 15:38:52 Main Document
OMB No. 1545-0108

Form **1096**
Department of the Treasury
Internal Revenue Service

**Annual Summary and Transmittal of
U.S. Information Returns**

2001

FILER'S name
VIRGINIA ATHERTON

Street address (including room or suite number)
61 LAGUNITA

City, state, and ZIP code
LAGUNA BEACH, CA 92651

Name of person to contact
VIRGINIA ATHERTON

Telephone number
949, 497-1904

Fax number
()

E-mail address

For Official Use Only

1 Employer identification number 2 Social security number
-6737

3 Total number of forms
1

4 Federal income tax withheld
\$ 0.00

5 Total amount reported with this Form 1096
\$ 32479.18

Enter an "X" in only one box below to indicate the type of form being filed. If this is your final return, enter an "X" here. ☐

W-2G 32	1098-B 81	1098-E 84	1098-T 83	1099-A 80	1099-B 79	1099-C 85	1099-DIV 91	1099-G 86	1099-INT 92	1099-LTC 93	1099-MISC 95	1099-MSA 94	1099-OLD 96
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

1099-PATR 97	1099-R 98	1099-S 73	5498 28	5498-MSA 27
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please return this entire page to the Internal Revenue Service. Photocopies are not acceptable.

Under penalties of perjury, I declare that I have examined this return and accompanying documents, and, to the best of my knowledge and belief, they are true, correct, and complete.

Signature ►

Title ►

Date ►

Instructions

Purpose of form. Use this form to transmit paper Forms 1099, 1098, 5498, and W-2G to the Internal Revenue Service. **Do not use Form 1096 to transmit magnetic media.** See Form 4804, Transmittal of Information Returns Reported Magnetically/Electronically.

Who must file. The name, address, and TIN of the filer on this form must be the same as those you enter in the upper left area of Form 1099, 1098, 5498, or W-2G. A filer includes a payer, a recipient of mortgage interest payments (including points) or student loan interest, an educational institution, a broker, a barter exchange, a creditor, a person reporting real estate transactions, a trustee or issuer of any individual retirement arrangement or a medical savings account (MSA) (including a Medicare+Choice MSA), and a lender who acquires an interest in secured property or who has reason to know that the property has been abandoned.

Preaddressed Form 1096. If you received a preaddressed Form 1096 from the IRS with Package 1099, use it to transmit paper Forms 1099, 1098, 5498, and W-2G to the Internal Revenue Service. If any of the imprinted information is incorrect, make corrections on the form.

Note: You will no longer receive an IRS-prepared label with your Package 1099.

If you are not using a preaddressed form, enter the filer's name, address (including room, suite, or other unit number), and TIN in the spaces provided on the form.

When to file. File Form 1096 with Forms 1099, 1098, or W-2G by February 28, 2002. File Form 1096 with Forms 5498 by May 31, 2002.

Where To File

Send all information returns filed on paper with Form 1096 to the following:

If your principal business, office or agency, or legal residence in the case of an individual, is located in

Use the following Internal Revenue Service Center address

Alabama, Arizona, Florida, Georgia, Louisiana, Mississippi, New Mexico, Texas

Austin, TX 73301

Arkansas, Connecticut, Kentucky, Maine, Massachusetts, New Hampshire, New York, Ohio, Rhode Island, Vermont, West Virginia

Cincinnati, OH 45999

Illinois, Indiana, Iowa, Kansas, Michigan, Minnesota, Missouri, Nebraska, North Dakota, Oklahoma, South Dakota, Wisconsin

Kansas City, MO 64999

PAYER'S name, street address, city, state, ZIP code, and telephone no. VIRGINIA ATHERTON 61 LAGUNITA LAGUNA BEACH, CA 92651		Payer's RTN (optional)	OMB No. 1545-0112 2001 Form 1099-INT	Interest Income Copy C For Payer For Privacy Act and Paperwork Reduction Act Notice, see the 2001 General Instructions for Forms 1099, 1098, 5498, and W-2G.
PAYER'S Federal identification number -6737	RECIPIENT'S identification number 364-56-1864	1 Interest income not included in box 3 \$ 32479.18		
RECIPIENT'S name LEONARD VALENTINE		2 Early withdrawal penalty \$	3 Interest on U.S. Savings Bonds and Treas. obligations \$	
Street address (including apt. no.) 22651 COTTONWOOD		4 Federal income tax withheld \$	5 Investment expenses \$	
City, state, and ZIP code MISSION VIEJO, CA 92692		6 Foreign tax paid \$	7 Foreign country or U.S. possession	
Account number (optional)		2nd TIN not. <input type="checkbox"/>		

Form **1099-INT**

Department of the Treasury - Internal Revenue Service

☐ VOID ☐ CORRECTED

PAYER'S name, street address, city, state, ZIP code, and telephone no.		Payer's RTN (optional)	OMB No. 1545-0112 2001 Form 1099-INT	Interest Income Copy C For Payer For Privacy Act and Paperwork Reduction Act Notice, see the 2001 General Instructions for Forms 1099, 1098, 5498, and W-2G.
PAYER'S Federal identification number	RECIPIENT'S identification number	1 Interest income not included in box 3 \$		
RECIPIENT'S name		2 Early withdrawal penalty \$	3 Interest on U.S. Savings Bonds and Treas. obligations \$	
Street address (including apt. no.)		4 Federal income tax withheld \$	5 Investment expenses \$	
City, state, and ZIP code		6 Foreign tax paid \$	7 Foreign country or U.S. possession	
Account number (optional)		2nd TIN not. <input type="checkbox"/>		

Form **1099-INT**

Department of the Treasury - Internal Revenue Service

☐ VOID ☐ CORRECTED

PAYER'S name, street address, city, state, ZIP code, and telephone no.		Payer's RTN (optional)	OMB No. 1545-0112 2001 Form 1099-INT	Interest Income Copy C For Payer For Privacy Act and Paperwork Reduction Act Notice, see the 2001 General Instructions for Forms 1099, 1098, 5498, and W-2G.
PAYER'S Federal identification number	RECIPIENT'S identification number	1 Interest income not included in box 3 \$		
RECIPIENT'S name		2 Early withdrawal penalty \$	3 Interest on U.S. Savings Bonds and Treas. obligations \$	
Street address (including apt. no.)		4 Federal income tax withheld \$	5 Investment expenses \$	
City, state, and ZIP code		6 Foreign tax paid \$	7 Foreign country or U.S. possession	
Account number (optional)		2nd TIN not. <input type="checkbox"/>		

Form **1099-INT**

Department of the Treasury - Internal Revenue Service

Leonard & Cynthia Valentine
Statement of Account
12/31/2002

Monies invested W/ Bernard Madoff Investment Securities
885 Third Avenue
New York, NY 10022

Virginia Atherton

Balance at 12/31/01		264,429.53
Interest 1/1/02 to 3/31/02	<u>3,307.12</u>	267,736.65
Interest 4/1/02 to 6/30/02	<u>12,137.74</u>	279,874.39
Interest 7/1/02 to 9/30/02	<u>15,841.70</u>	295,716.09
Interest 10/01/02 to 12/31/02	<u>5,751.02</u>	
Balance 12/31/02		301,467.11
Total Interest 2002	37,037.58	

Leonard & Cynthia Valentine
Statement of Account
12/31/02

Balance @ 1/1/02 $264,429.53$
 ~~$296,908.71$~~

Income 3/31/02 $3,331.81$
 $267,761.34$

Income 6/30/02 $7,785.16$
 $275,546.50$

Income 9/30/02 $10,773.86$
 $286,320.36$

Income 12/31/02 $9,949.63$
 $296,269.99$ $31,840.46$

Long way figure = $31,840.46$
Short way = $264,429.53 \times 13.90\% = 32,241.09$
Shortway = \$400.63 more

Need 1096 form & 1099 ~~and~~ del forms.
(main file) ~~Had copies of 2001 1096 & 1099 forms~~

☐ CORRECTED (if checked)

PAYER'S name, street address, city, state, ZIP code, and telephone no. VIRGINIA ATHERTON 61 LAGUNITA LAGUNA BEACH, CA 92651 (949) 497-1904		Payer's RTN (optional)	OMB No. 1545-0112 2002 Form 1099-INT	Interest Income
if identification number 6737	RECIPIENT'S identification number 364-56-1864	1 Interest income not included in box 3 \$ 37037.58		
RECIPIENT'S name, street address (including apt. no.), city, state, and ZIP code LEONARD VALENTINE 22651 COTTONWOOD MISSION VIEJO, CA 92692 Account number (optional)		2 Early withdrawal penalty \$	3 Interest on U.S. Savings Bonds and Treas. obligations \$	Copy B For Recipient This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.
		4 Federal income tax withheld \$	5 Investment expenses \$	
		6 Foreign tax paid \$	7 Foreign country or U.S. possession	

Form **1099-INT**

(Keep for your records.)

Department of the Treasury - Internal Revenue Service

57504 Columnville (B)

76005197
7487869
8349306
(54000-)
78093060

VIRGINIA ATHONTON 61 LAGUNITA LAGUNA BEACH, CA 92651		Pg 38 of 56		03		interest income	
(949) 497-1904		1099-INT					
Recipient's address for location number -6737		RECIPIENT'S identification number 364-56-1864		1 Interest income not included in box 1 \$ 31069.64		Copy A For	
Recipient's name LEONARD VALENTINE		2 Early withdrawal penalty \$		3 Interest on U.S. Savings Bonds and Treas. obligations \$		Internal Revenue Service Center File with Form 1099	
Recipient's home address (if different) 22651 COTTONWOOD		4 Federal income tax withheld \$		5 Interest paid expenses \$		non-provisional and Repayment	
Recipient's ZIP code MISSION VIEJO, CA 92692		6 Foreign tax paid \$		7 Foreign country or U.S. possession \$		Notice: See the 2005 General instructions for Forms 1099, 1096, 5498, and V-202.	
Recipient's tax preparer and file not		\$		\$		Department of the Treasury - Internal Revenue Service	
1099-INT		1099-INT		1099-INT		1099-INT	

Do Not Cut or Separate Forms on This Page --- Do Not Cut or Separate Forms on This Page

9292		<input type="checkbox"/> VOID <input type="checkbox"/> CORRECTED	
Donor's name, street address, city, state, ZIP code, and telephone no.		Payee's PTIN (optional)	OMB No. 1545-0042
			2003 Interest Income
			Form 1099-INT
Donor's Federal agent location number	RECIPIENT'S identification number	1 Interest income not included in box 3 \$	
Donor's EIN or name		2 Early withdrawal penalty \$	3 Interest on U.S. Savings deposits and Trans. obligations \$
Donor's address (including apt. no.)		4 Federal income tax withheld \$	5 Investment expenses \$
City, state, and ZIP code		6 Foreign tax paid \$	7 Foreign country or U.S. possession
Donor's EIN number (optional)		2nd TIN not <input type="checkbox"/>	\$
Form 1099-INT		Cat. No. 15450K	
		U.S. Department of the Treasury - Internal Revenue Service	

Do Not Cut or Separate Forms on This Page — Do Not Cut or Separate Forms on This Page

9292		<input type="checkbox"/> VOID <input type="checkbox"/> CORRECTED	
PAYER's location: street address, city, state, ZIP code, and telephone no.		Payer's RTN (optional)	OMB No. 1545-0112
			2003 Interest Income
			Form 1099-INT
PAYER's federal identification number	RECIPIENT'S identification number	1 Interest income not included in box 1 \$	<p>Copy A For Internal Revenue Service Center File with Form 1099.</p> <p>For money, gold, and paper gains, Reduction Act Notice 98-60 for 2003 General Instructions for Forms 1099, 1095, 5498, and W-2G.</p>
2 Payer's name		2 Payer's withdrawal penalty \$	
3 Payer's address (including apt. no.)		3 Interest on U.S. Savings Bonds and U.S. Treas. Obligations \$	
4 Payer's state and ZIP code		4 Federal income tax withheld \$	
5 Payer's business (including apt. no.)		5 Investment expenses \$	
6 Payer's foreign tax paid		6 Foreign tax paid \$	7 Foreign country or U.S. possession
8 Payer's EIN (if any)	9 Recipient's EIN (if any)		
1099-INT		Cat. No. 1099-INT	
Department of the Treasury		Internal Revenue Service	

1096

Annual Summary and Transmittal of
U.S. Information Returns

03

Filer's name

VIRGINIA ATHERTON

Current address (including room or suite number)

61 LAGUNITA

City, state, and ZIP code

LAGUNA BEACH, CA 92651

Home or office contact

VIRGINIA ATHERTON

Telephone number

949 497-1904

Fax number

For Official Use Only

--	--	--	--	--	--	--	--	--	--

1. Filer's name (last, first, middle initial)	2. Social security number	3. Total number of forms	4. Federal income tax withheld	5. Total amount reported with tax
	-6737	1	\$ 0.00	\$ 31069.64

Check only one box below to indicate the type of form being filed. If this is your final return, enter an "X" here.

Individual	Partnership	Trust	Beneficiary of an annuity, pension, or IRA	Other
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

Form 1099-INT	Form 1099-DIV	Form 1099-ORD	Form 1099-RENT	Form 1099-RO	Form 1099-RSA	Form 1099-SSA	Form 1099-SSA	Form 1099-SSA	Form 1099-SSA
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Return this entire page to the Internal Revenue Service. Photocopies are not acceptable.

Under penalties of perjury, I declare that I have examined this return and accompanying documents, and, to the best of my knowledge and belief, they are true, correct, and complete.

Signature

Robert L. Ward

Title

ACCOUNTANT

Date

3/9/04

Instructions

Purpose of form. Use this form to transmit paper Forms 1099, 1098, 5498, and W-2G to the Internal Revenue Service. **Do not use Form 1096 to transmit electronically or magnetically.** For magnetic media, see Form 4804, Transmission of Information Returns Reported Magnetically; for electronic submissions, see Pub. 1220, Specifications for Filing Forms 1098, 1099, 5498 and W-2G Electronically or Magnetically.

Who must file. The name, address, and TIN of the filer on this form must be the same as those you enter in the upper left area of Forms 1099, 1098, 5498, or W-2G. A filer includes a payer; a recipient of mortgage interest payments (including points) or student loan interest; an educational institution; a broker; a barter exchange; a creditor; a person reporting real estate transactions; a trustee or issuer of any individual retirement arrangement, a Coverdell ESA, an Archer MSA (including a Medicare+Choice MSA); certain corporations; and a lender who acquires an interest in secured property or who has reason to know that the property has been abandoned.

Preadressed Form 1096. If you received a preaddressed Form 1096 from the IRS with Package 1099, use it to transmit paper Forms 1099, 1098, 5498, and W-2G to the Internal Revenue Service. If any of the preprinted information is incorrect, make corrections on the form.

If you are not using a preaddressed form, enter the filer's name, address (including room, suite, or other unit number), and TIN in the spaces provided on the form.

When to file. File Form 1096 with Forms 1099, 1098, or W-2G by March 1, 2004. File Form 1096 with Forms 5498, 5498-ESA, and 5498-MSA by May 31, 2004.

Where To File

Send all information returns filed on paper with Form 1096 to the following:

If your principal business, office or agency, or legal residence in the case of an individual, is located in

Use the following Internal Revenue Service Center address

Alabama, Arizona, Florida, Georgia, Louisiana, Mississippi, New Mexico, North Carolina, Texas, Virginia

Austin, TX 73301

Arkansas, Connecticut, Delaware, Kentucky, Maine, Massachusetts, New Hampshire, New Jersey, New York, Ohio, Pennsylvania, Rhode Island, Vermont, West Virginia

Cincinnati, OH 45999

Illinois, Indiana, Iowa, Kansas, Michigan, Minnesota, Missouri, Nebraska, North Dakota, Oklahoma, South Carolina, South Dakota, Tennessee, Wisconsin

Kansas City, MO 64999

Prepared By

Approved By

WILSON JONES COMPANY

G7204 GREEN

7204 BUFF

MADE IN U.S.A.

1

2

3

4

TOTAL

GIGI

CYN & LYNN

CYN & LEN

% PORTFOLIO

1/1/04

78093066

44839391

33253675

11/1/04 W/D

(1000000)

(1000000)

77093066

43839391

33053675

43.13

3/31/04 INCOME

1691663

956362

725301

4/1/04 W/D

78774729

44795753

33978976

(2700000)

(2700000)

76074729

42095753

33978976

44.67

6/30/04 INCOME

2450190

1356797

1095393

78526919

43455550

35074369

7/1/04 W/D

(1000000)

(1000000)

77526919

42455550

35074369

45.24

9/30/04 INCOME

3149600

1177121

972479

79676519

43639671

36046848

10/1/04 W/D

(700000)

(700000)

78976519

42929671

36046848

45.64

12/31/04 INCOME

1631823

887059

744764

80608342

43816730

34791612

Income

7915076

4377339

3537937

Beg Bal
Income

78093066

7915076

80608342

W/D

(5400000)

80608342

Leonard & Cynthia Valentine
Statement of Account
12/31/2004

Monies invested W / Bernard Madoff Investment Securities
855 Third Avenue
New York, NY 10022

Virginia Atherton

Balance at 12/31/04		332,536.75
Interest 1/1/04 to 3/31/04	7,253.01	
		339,789.76
Interest 4/1/04 to 6/30/04	10,953.93	
		350,743.69
Interest 7/1/04 to 9/30/04	9,724.79	
		360,468.48
Interest 10/1/04 to 12/31/04	7,447.64	
		367,916.12
Total Interest 2004	35,379.37	

☐ VOID☐ CORRECTED

Pg 42 of 50

PAYER'S name, street address, city, state, ZIP code, and telephone no. VIRGINIA ATHERTON 61 LAGUNITA LAGUNA BEACH, CA 92651 (949) 497-1904		Payer's RTN (optional)	OMB No. 1545-0112 2004 Form 1099-INT	Interest Income Copy C For Payer For Privacy Act and Paperwork Reduction Act Notice, see the 2004 General Instructions for Forms 1099, 1098, 5498, and W-2G.
PAYER'S Federal identification number -6737	RECIPIENT'S identification number 364-56-1864	1 Interest income not included in box 3 \$ 35379.37		
RECIPIENT'S name LEONARD VALENTINE Street address (including apt. no.) 22651 COTTONWOOD City, state, and ZIP code MISSION VIEJO, CA 92692 Account number (optional)		2 Early withdrawal penalty \$	3 Interest on U.S. Savings Bonds and Treas. obligations \$	
		4 Federal income tax withheld \$	5 Investment expenses \$	
		6 Foreign tax paid \$	7 Foreign country or U.S. possession	
2nd TIN not.				

Form 1099-INT

Department of the Treasury - Internal Revenue Service

☐ VOID☐ CORRECTED

PAYER'S name, street address, city, state, ZIP code, and telephone no.		Payer's RTN (optional)	OMB No. 1545-0112 2004 Form 1099-INT	Interest Income Copy C For Payer For Privacy Act and Paperwork Reduction Act Notice, see the 2004 General Instructions for Forms 1099, 1098, 5498, and W-2G.
PAYER'S Federal identification number	RECIPIENT'S identification number	1 Interest income not included in box 3 \$		
RECIPIENT'S name Street address (including apt. no.) City, state, and ZIP code Account number (optional)		2 Early withdrawal penalty \$	3 Interest on U.S. Savings Bonds and Treas. obligations \$	
		4 Federal income tax withheld \$	5 Investment expenses \$	
		6 Foreign tax paid \$	7 Foreign country or U.S. possession	
2nd TIN not.				

Form 1099-INT

Department of the Treasury - Internal Revenue Service

☐ VOID☐ CORRECTED

PAYER'S name, street address, city, state, ZIP code, and telephone no.		Payer's RTN (optional)	OMB No. 1545-0112 2004 Form 1099-INT	Interest Income Copy C For Payer For Privacy Act and Paperwork Reduction Act Notice, see the 2004 General Instructions for Forms 1099, 1098, 5498, and W-2G.
PAYER'S Federal identification number	RECIPIENT'S identification number	1 Interest income not included in box 3 \$		
RECIPIENT'S name Street address (including apt. no.) City, state, and ZIP code Account number (optional)		2 Early withdrawal penalty \$	3 Interest on U.S. Savings Bonds and Treas. obligations \$	
		4 Federal income tax withheld \$	5 Investment expenses \$	
		6 Foreign tax paid \$	7 Foreign country or U.S. possession	
2nd TIN not.				

Form 1099-INT

Department of the Treasury - Internal Revenue Service

INVESTMENT PG 43 of 86 UNIT

2005

Prepared By	Initials	Date
Approved By		

© WILSON JONES

G7504 ColumnWrite®

		TOTAL	4141	CYN:LEN	CYN:LEN % PORTFOLIO
1	1/1/05	80608342	43816730	3671612	
2	1/1/05 W/D	(1000000)	(1000000)		
3		79608342	42816730	36791612	46.22
4	3/31/05 INCOME	1673387	899948	773439	
5		81281729	43716678	37565051	
6	4/1/05 W/D	(2500000)	(2500000)		
7		78781729	41216678	37565051	47.68
8	6/30/05 INCOME	1765411	923663	841748	
9		80547140	42140341	38406799	
10	7/1/05 W/D	(1100000)	(1100000)		
11		79447140	41040341	38406799	48.34
12	9/30/05 INCOME	1610709	833105	779584	
13		81059849	41873466	39182383	
14	10/1/05 W/D	(1000000)	(1000000)		
15		80059849	40873466	39182383	48.45
16	12/31/05 INCOME	2567564	1310741	1256803	
17		80627413	42184207	40443206	
18					
19	INCOME	7619071	3967473	3651594	
20					
21					
22					
23	BEG BAL	80608342	DIVS 8715.83 CAP GAINS 67474.88		
24	INCOME	7619071			
25		80627413			
26	W/D	(560000)			
27		80627413			
28					76190.71
29					
30					
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40					

Prepared By _____
 Approved By _____

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			1	2	3	4
			TOTAL	GIGI	CYN+LEN	CYN+LEN % PUFER
1	1/1/		82627413	42184207	40443206	
2	1/1/	W/D	(10000-)	(10000-)		
3			81627413	41184207	40443206	49.55
4	3/31/	INCOME	2007615	1012342	994773	
5			83635028	42197049	41437979	
6	4/1/	W/D	(21000-)	(21100-)		
7			81535028	40097049	41437979	50.82
8	6/30/	INCOME	2349411	1153470	1193971	
9			83884439	41252489	42631950	
10	7/1/	W/D	(5000-)	(5000-)		
11			83384439	40752489	42631950	51.13
12	9/30/	INCOME	4042974	1975801	2067173	
13			87427413	42728290	44699123	
14	10/1/	W/D	(30000-)	(15000-)	(15000-)	
15			84427413	41228290	43199123	51.17
16	10/31/	INCOME	2279872	1113261	1166611	
17						
18		END BAL	86707285	4234551	44365734	
19						
20		INCOME	10679872	5257344	5422528	
21						
22						
23						
24		BEG BAL	82627413			
25		INCOME	10679872			
26						
27		W/D	(66000-)			
28						
29			86707285			
30						
31						
32						
33						
34						
35						
36						
37						
38						
39						
40						

Virginia H. Atherton

61 Lagunita
Laguna Beach, CA 92651
949-497-1904
gatherton@cox.net



To Leonard and Cynthia Valentine
Statement of Account
12/31/06

Monies invested with Bernard Madoff Investment Securities
855 Third Avenue
New York, N.Y. 10022

Balance at 12/31/05	\$404,430.06
Income at 3/31/06	<u>9,947.73</u>
	414,379.79
Income at 6/30/06	<u>11,939.71</u>
	426,319.50
Income at 9/30/06	<u>20,671.73</u>
	446,991.23
Withdrawal 10/1/06	(15,000.00)
Income at 12/31/06	<u>11,666.11</u>
<u>Ending Balance</u>	\$443,657.34
Income for year 2006	54,225.28
(Per 1099 for 2006)	

Do Not Staple

Pg 46 of 56

Form 1096 Department of the Treasury Internal Revenue Service	Annual Summary and Transmittal of U.S. Information Returns	OMB No. 1545-0108 2006
FILER'S name VIRGINIA ATHERTON		
Street address (including room or suite number) 61 LAGUNITA		
City, state, and ZIP code LAGUNA BEACH, CA 92651		
Name of person to contact VIRGINIA ATHERTON		Telephone number (949) 497-1904
Email address		Fax number ()
For Official Use Only <div style="border: 2px solid black; width: 100px; height: 20px; margin: 0 auto;"></div>		
1 Employer identification number	2 Social security number 737	3 Total number of forms 1
4 Federal income tax withheld \$ 0.00		5 Total amount reported with this Form 1096 \$ 54225.28
Enter an "X" in only one box below to indicate the type of form being filed.		
W-2G 32	1098 81	1098-C 78
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1098-E 84	1098-T 83	1099-A 80
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1099-B 79	1099-C 85	1099-CAP 73
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1099-DIV 91	1099-G 86	1099-H 71
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1099-INT 92	1099-LTC 93	
<input type="checkbox"/>	<input type="checkbox"/>	
1099-MISC 95	1099-OLD 96	1099-PATR 97
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1099-Q 31	1099-R 98	1099-S 75
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1099-SA 94	5498-ESA 72	5498-SA 27
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Return this entire page to the Internal Revenue Service. Photocopies are not acceptable.

Under penalties of perjury, I declare that I have examined this return and accompanying documents, and, to the best of my knowledge and belief, they are true, correct, and complete.

Signature ▶

Title ▶

Date ▶

Instructions

Purpose of form. Use this form to transmit paper Forms 1099, 1098, 5498, and W-2G to the Internal Revenue Service. Do not use Form 1096 to transmit electronically or magnetically. For magnetic media, see Form 4804, Transmittal of Information Returns Reported Magnetically; for electronic submissions, see Pub. 1220, Specifications for Filing Forms 1098, 1099, 5498, and W-2G Electronically or Magnetically.

Who must file. The name, address, and TIN of the filer on this form must be the same as those you enter in the upper left area of Forms 1099, 1098, 5498, or W-2G. A filer includes a payer; a recipient of mortgage interest payments (including points) or student loan interest; an educational institution; a broker; a barter exchange; a creditor; a person reporting real estate transactions; a trustee or issuer of any individual retirement arrangement, a Coverdell ESA, an HSA, an Archer MSA (including a Medicare Advantage MSA); certain corporations; certain donees of motor vehicles, boats, and airplanes; and a lender who acquires an interest in secured property or who has reason to know that the property has been abandoned.

Preaddressed Form 1096. If you received a preaddressed Form 1096 from the IRS with Package 1099, use it to transmit paper Forms 1099, 1098, 5498, and W-2G to the Internal Revenue Service. If any of the preprinted information is incorrect, make corrections on the form.

If you are not using a preaddressed form, enter the filer's name, address (including room, suite, or other unit number), and TIN in the spaces provided on the form.

When to file. File Form 1096 as follows.

- With Forms 1099, 1098, or W-2G, file by February 28, 2007.
- With Forms 5498, 5498-ESA, or 5498-SA, file by May 31, 2007.

Where To File

Except for Form 1098-C, send all information returns filed on paper with Form 1096 to the following:

If your principal business, office or agency, or legal residence in the case of an individual, is located in

Use the following Internal Revenue Service Center address

Alabama, Arizona, Arkansas, Connecticut, Delaware, Florida, Georgia, Kentucky, Louisiana, Maine, Massachusetts, Mississippi, New Hampshire, New Jersey, New Mexico, New York, North Carolina, Ohio, Pennsylvania, Rhode Island, Texas, Vermont, Virginia, West Virginia

Austin, TX 73301

Alaska, California, Colorado, District of Columbia, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Maryland, Michigan, Minnesota, Missouri, Montana, Nebraska, Nevada, North Dakota, Oklahoma, Oregon, South Carolina, South Dakota, Tennessee, Utah, Washington, Wisconsin, Wyoming

Kansas City, MO
64999

PAYER'S name, street address, city, state, ZIP code, and telephone no. VIRGINIA ATHERTON 61 LAGUNITA LAGUNA BEACH, CA 92651 (949) 497-1904		Payer's RTN (optional) 1 Interest income \$ 54225.28 2 Early withdrawal penalty \$		OMB No. 1545-0112 2006 Form 1099-INT			
PAYER'S federal identification number 6737	RECIPIENT'S identification number 364-56-1864	3 Interest on U.S. Savings Bonds and Treas. obligations \$		Copy A For Internal Revenue Service Center File with Form 1096. For Privacy Act and Paperwork Reduction Act Notice, see the 2006 General Instructions for Forms 1099, 1098, 5498, and W-2G.			
RECIPIENT'S name LEONARD VALENTINE		4 Federal income tax withheld \$				5 Investment expenses \$	
Street address (including apt. no.) 22651 COTTONWOOD		6 Foreign tax paid \$				7 Foreign country or U.S. possession	
City, state, and ZIP code MISSION VIEJO, CA 92692		8 Tax-exempt interest \$				9 Specified private activity bond interest \$	
Account number (see instructions)	2nd TIN not. <input type="checkbox"/>						

Form 1099-INT

Cat. No. 14410K

Department of the Treasury - Internal Revenue Service

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9292 ☐ VOID ☐ CORRECTED

PAYER'S name, street address, city, state, ZIP code, and telephone no.		Payer's RTN (optional) 1 Interest income \$ 2 Early withdrawal penalty \$		OMB No. 1545-0112 2006 Form 1099-INT			
PAYER'S federal identification number	RECIPIENT'S identification number	3 Interest on U.S. Savings Bonds and Treas. obligations \$		Copy A For Internal Revenue Service Center File with Form 1096. For Privacy Act and Paperwork Reduction Act Notice, see the 2006 General Instructions for Forms 1099, 1098, 5498, and W-2G.			
RECIPIENT'S name		4 Federal income tax withheld \$				5 Investment expenses \$	
Street address (including apt. no.)		6 Foreign tax paid \$				7 Foreign country or U.S. possession	
City, state, and ZIP code		8 Tax-exempt interest \$				9 Specified private activity bond interest \$	
Account number (see instructions)	2nd TIN not. <input type="checkbox"/>						

Form 1099-INT

Cat. No. 14410K

Department of the Treasury - Internal Revenue Service

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9292 ☐ VOID ☐ CORRECTED

PAYER'S name, street address, city, state, ZIP code, and telephone no.		Payer's RTN (optional) 1 Interest income \$ 2 Early withdrawal penalty \$		OMB No. 1545-0112 2006 Form 1099-INT			
PAYER'S federal identification number	RECIPIENT'S identification number	3 Interest on U.S. Savings Bonds and Treas. obligations \$		Copy A For Internal Revenue Service Center File with Form 1096. For Privacy Act and Paperwork Reduction Act Notice, see the 2006 General Instructions for Forms 1099, 1098, 5498, and W-2G.			
RECIPIENT'S name		4 Federal income tax withheld \$				5 Investment expenses \$	
Street address (including apt. no.)		6 Foreign tax paid \$				7 Foreign country or U.S. possession	
City, state, and ZIP code		8 Tax-exempt interest \$				9 Specified private activity bond interest \$	
Account number (see instructions)	2nd TIN not. <input type="checkbox"/>						

Form 1099-INT

Cat. No. 14410K

Department of the Treasury - Internal Revenue Service

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CORRECTED

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PAYER'S name, street address, city, state, ZIP code, and telephone no.		Payer's RTN (optional)		OMB No. 1545-0112	
VIRGINIA AHERTON 61 LAGUNITA LAGUNA BEACH, CA 92651		1 Interest income		2007 Interest Income	
		\$ 48,501.79			
		2 Early withdrawal penalty			
\$		Form 1099-INT			
PAYER'S federal identification number	RECIPIENT'S identification number	3 Interest on U.S. Savings Bonds and Treas. obligations		Copy A For Internal Revenue Service Center File with Form 1096. For Privacy Act and Paperwork Reduction Act Notice, see the 2007 General Instructions for Forms 1099, 1098, 5498, and W-2G.	
6737	364-56-1864	\$			
RECIPIENT'S name		4 Federal income tax withheld			
LEONARD VALENTINE		\$			
Street address (including apt. no.)		5 Investment expenses			
22651 COTTONWOOD		\$			
City, state, and ZIP code		6 Foreign tax paid		7 Foreign country or U.S. possession	
MISSION VIEJO, CA 92692		\$		\$	
Account number (see instructions)		8 Tax-exempt interest		9 Specified private activity bond interest	
		\$		\$	
2nd TIN not.		<input type="checkbox"/>			

Form 1099-INT

Cat. No. 14410K

Department of the Treasury - Internal Revenue Service

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PAYER'S name, street address, city, state, ZIP code, and telephone no.		Payer's RTN (optional)		OMB No. 1545-0112	
		1 Interest income		2007 Interest Income	
		\$			
		2 Early withdrawal penalty			
\$		Form 1099-INT			
PAYER'S federal identification number	RECIPIENT'S identification number	3 Interest on U.S. Savings Bonds and Treas. obligations		Copy A For Internal Revenue Service Center File with Form 1096. For Privacy Act and Paperwork Reduction Act Notice, see the 2007 General Instructions for Forms 1099, 1098, 5498, and W-2G.	
		\$			
RECIPIENT'S name		4 Federal income tax withheld			
		\$			
Street address (including apt. no.)		5 Investment expenses			
		\$			
City, state, and ZIP code		6 Foreign tax paid		7 Foreign country or U.S. possession	
		\$		\$	
Account number (see instructions)		8 Tax-exempt interest		9 Specified private activity bond interest	
		\$		\$	
2nd TIN not.		<input type="checkbox"/>			

Form 1099-INT

Cat. No. 14410K

Department of the Treasury - Internal Revenue Service

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4292

VOID

CORRECTED

PAYER'S name, street address, city, state, ZIP code, and telephone no.		Payer's RTN (optional)		OMB No. 1545-0112	
		1 Interest income		2007 Interest Income	
		\$			
		2 Early withdrawal penalty			
\$		Form 1099-INT			
PAYER'S federal identification number	RECIPIENT'S identification number	3 Interest on U.S. Savings Bonds and Treas. obligations		Copy A For Internal Revenue Service Center File with Form 1096. For Privacy Act and Paperwork Reduction Act Notice, see the 2007 General Instructions for Forms 1099, 1098, 5498, and W-2G.	
		\$			
RECIPIENT'S name		4 Federal income tax withheld			
		\$			
Street address (including apt. no.)		5 Investment expenses			
		\$			
City, state, and ZIP code		6 Foreign tax paid		7 Foreign country or U.S. possession	
		\$		\$	
Account number (see instructions)		8 Tax-exempt interest		9 Specified private activity bond interest	
		\$		\$	
2nd TIN not.		<input type="checkbox"/>			

Form 1099-INT

Cat. No. 14410K

Department of the Treasury - Internal Revenue Service

INVESTMENT ACCOUNT

2007

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G7504 ColumnWrite

Prepared By	Initials	Date
Approved By		

		1	2	3	4
		TOTAL	GIGI	CYN LEN	CYN LEN % PORTFOL
1	1/1/	86707285	42341551	44365734	
2	1/1/ W/D	(3000000)	(18000-)	(12000-)	
3		83707285	40541551	43165734	51.57
4	3/31/ INCOME	1898869	919603	979047	
5		85606154	41461173	44144981	
6	4/1/ W/D	(4000000)	(27000-)	(13000-)	
7		81606154	38761173	42844981	50.50
8	6/30/ INCOME	2469930	1173017	1296713	
9		84076084	39934390	44141694	
10	7/1/ W/D	(10000-)	(10000-)	-	
11		83076084	38934390	44141694	53.13
12	9/30/ INCOME	2625942	1230779	1395163	
13		85706026	40165169	45536857	
14	10/1/ W/D	(10000-)	(10000-)		
15		84706026	39165169	45536857	53.76
16	10/31/ INCOME	2193184	10114128	1179056	
17					
18	END BAL	86895210	40179097	46715913	
19					
20	INCOME	9187925	4337746	4850179	
21					
22					
23					
24	BEG. BAL	86707285			
25	INCOME	9187925			
26		95895210			
27	W/D	(9000000)			
28					
29		86895210			
30					
31					
32					
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Do Not Staple

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Annual Summary and Transmittal of U.S. Information Returns		OMB No. 1545-0046 2007										
Form 1096 Department of the Treasury Internal Revenue Service												
FILER Name VIRGINIA AATHERTON												
Street Address (including room or suite number) 61 LAGUNITA												
City, State, and ZIP code LAGUNA BEACH, CA 92651												
Name of filer (if not filer) VIRGINIA AATHERTON		Telephone number (949) 497-1904										
Email address		Fax number										
For Official Use Only												
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">1. Employer identification number</td> <td style="width: 20%;">2. Social security number 22 4737</td> <td style="width: 20%;">3. Total number of forms 1</td> <td style="width: 20%;">4. Federal income tax withheld \$ 0.00</td> <td style="width: 20%;">5. Total amount reported with this Form 1096 \$ 48,501.79</td> </tr> </table>			1. Employer identification number	2. Social security number 22 4737	3. Total number of forms 1	4. Federal income tax withheld \$ 0.00	5. Total amount reported with this Form 1096 \$ 48,501.79					
1. Employer identification number	2. Social security number 22 4737	3. Total number of forms 1	4. Federal income tax withheld \$ 0.00	5. Total amount reported with this Form 1096 \$ 48,501.79								
Enter an "X" in one box below to indicate the type of form being filed. If this is your final return, enter an "X" here.												
W-2G 32	1099-C 76	1099-E 84	1099-T 83	1099-A 80	1099-B 79	1099-C 85	1099-CAP 73	1099-DIV 91	1099-G 86	1099-INT 71	1099-ITD 95	1099-LTC 92
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
1099-MSA 98	1099-NEC 94	1099-PR 96	1099-R 95	1099-S 75	1099-SA 94	5498 28	5498-ESA 72	5498-SA 27				
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>				

Return this entire page to the Internal Revenue Service. Photocopies are not acceptable.

Under penalties of perjury, I declare that I have examined this return and accompanying documents, and, to the best of my knowledge and belief, they are true, correct, and complete.

Signature

Title

Date

Instructions

Purpose of form. Use this form to transmit paper Forms 1099, 1098, 5498, and W-2G to the Internal Revenue Service. Do not use Form 1096 to transmit electronically or magnetically. For magnetic media, see Form 4804, Transmittal of Information Returns Reported Magnetically; for electronic submissions, see Pub. 1220, Specifications for Filing Forms 1098, 1099, 5498, and W-2G Electronically or Magnetically.

Who must file. The name, address, and TIN of the filer on this form must be the same as those you enter in the upper left area of Forms 1099, 1098, 5498, or W-2G. A filer includes a payer; a recipient of mortgage interest payments (including points) or student loan interest; an educational institution; a broker; a barter exchange; a creditor; a person reporting real estate transactions; a trustee or issuer of any individual retirement arrangement, a Coverdell ESA, an HSA, an Archer MSA (including a Medicare Advantage MSA); certain corporations; certain donees of motor vehicles, boats, and airplanes; and a lender who acquires an interest in secured property or who has reason to know that the property has been abandoned.

Preadressed Form 1096. If you received a preaddressed Form 1096 from the IRS with Package 1099, use it to transmit paper Forms 1099, 1098, 5498, and W-2G to the Internal Revenue Service. If any of the preprinted information is incorrect, make corrections on the form.

If you are not using a preaddressed form, enter the filer's name, address (including room, suite, or other unit number), and TIN in the spaces provided on the form.

When to file. File Form 1096 as follows.

- With Forms 1099, 1098, or W-2G, file by February 28, 2008.
- With Forms 5498, 5498-ESA, or 5498-SA, file by June 2, 2008.

Where To File

Except for Form 1098-C, send all information returns filed on paper with Form 1096 to the following:

If your principal business, office or agency, or legal residence in the case of an individual, is located in

Use the following Internal Revenue Service Center address

Alabama, Arizona, Arkansas, Connecticut, Delaware, Florida, Georgia, Kentucky, Louisiana, Maine, Massachusetts, Mississippi, New Hampshire, New Jersey, New Mexico, New York, North Carolina, Ohio, Pennsylvania, Rhode Island, Texas, Vermont, Virginia, West Virginia

Austin, TX 73301

Alaska, California, Colorado, District of Columbia, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Maryland, Michigan, Minnesota, Missouri, Montana, Nebraska, Nevada, North Dakota, Oklahoma, Oregon, South Carolina, South Dakota, Tennessee, Utah, Washington, Wisconsin, Wyoming

Kansas City, MO 64999

	Initials	Date
Prepared By		
Approved By		

[illegible]

INVESTMENT ACCOUNT

2008

Prepared By	Initials	Date
Approved By		

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		1	2	3	4
		TOTAL	GIGI	CYN: LEN	CYN: LEN % PUFES-
1	1/1/	86895210	40179297	46715913	
2	1/1/ W/D	(3500000)	500000	(2000000)	
3		84395210	39679297	44715913	53.98
4	3/31/ INCOME	1045005	491455	553750	
5		85440415	40170752	45269663	
6	4/1/ W/D	(500000)	(500000)		
7		84940415	39670752	45269663	53.30
8	6/30/ INCOME	4814329	2248292	2566037	
9		89754744	41919044	47835700	
10	7/1/ W/D	(500000)	(500000)		
11		89254744	41419044	47835700	53.59
12	9/30/ INCOME	1332394	618364	714030	
13		90587138	42037408	48549730	
14	10/1/ W/D				
15					
16	10/31/ INCOME				
17					
18	END BAL				
19					
20	INCOME				
21					
22					
23					
24	BEG. BAL				
25	INCOME				
26					
27	W/D				
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V. H. Atherton
61 Lagunita
Laguna Beach, CA 92651

Pg 53 of 56

10-25-2006

Pay to
the order of

Seymour A. Valentine \$7500.00
Seventy five Hundred & 00/100 Dollars

UNION BANK OF CALIFORNIA
Harbor View #71
1666 San Miguel Drive
Newport Beach, CA 92660
800-238-4486

For

V. H. Atherton MP

⑆122000496⑆ 4541011971⑆ 9609 ⑆0000750000⑆



V. H. Atherton
61 Lagunita
Laguna Beach, CA 92651

16-49-1220

9608

10-25-2006

Pay to
the order of

Cynthia A. Valentine \$7500.00
Seventy five Hundred & 00/100 Dollars

UNION BANK OF CALIFORNIA
Harbor View #71
1666 San Miguel Drive
Newport Beach, CA 92660
800-238-4486


For

V. H. Atherton MP

⑆122000496⑆ 4541011971⑆ 9608 ⑆0000750000⑆

1/11/10 →

16-49-1220 9693

 V. H. Atherton
61 Lagunita
Laguna Beach, CA 92651


1/31 2007

Pay to the order of Cynthia or Leonard Valentine \$12,000.00

Twelve Thousand 00/100 Dollars


UNION BANK OF CALIFORNIA
Harbor View #71
1666 San Miguel Drive
Newport Beach, CA 92660
800-238-4486

For

 MP

⑆ 122000496⑆ 4541011971⑆ 9693 ⑆0001200000⑆

16-49-1220 9315

 V. H. Atherton
61 Lagunita
Laguna Beach, CA 92651


4-10 2007

Pay to the order of Leonard or Cynthia Valentine \$13,000.00

Thirteen Thousand 00/100 Dollars

UNION BANK OF CALIFORNIA
Harbor View #71
1666 San Miguel Drive
Newport Beach, CA 92660
800-238-4486

For

 MP

⑆ 122000496⑆ 4541011971⑆ 9315 ⑆0001300000⑆

8/11/07

10-41222
9725
1-5-08
V. H. Atkinson
61 Capistrano
Capistrano Ranch, CA 92651
Pay to the order of MAHMOUD - NEWPORT \$20.00
Twenty & 00/100
UNION BANK OF CALIFORNIA
BRANCH HEAD OFFICE
1000 SAN MARINO BLVD
NEWPORT BEACH, CA 92660
FOR DEPOSIT ONLY
By V. H. Atkinson
⑆122000496⑆ 4541011971⑆ 9725

10-41222
9739
1-18-08
V. H. Atkinson
61 Capistrano
Capistrano Ranch, CA 92651
Pay to the order of Samuel & Catharine Williams \$6,000.00
Six Thousand & 00/100
UNION BANK OF CALIFORNIA
BRANCH HEAD OFFICE
1000 SAN MARINO BLVD
NEWPORT BEACH, CA 92660
FOR DEPOSIT ONLY
By V. H. Atkinson
⑆122000496⑆ 4541011971⑆ 9739 ⑆000060000⑆

10-41222
9740
1-18-08
V. H. Atkinson
61 Capistrano
Capistrano Ranch, CA 92651
Pay to the order of Samuel & Catharine Williams \$6,000.00
Six Thousand & 00/100
UNION BANK OF CALIFORNIA
BRANCH HEAD OFFICE
1000 SAN MARINO BLVD
NEWPORT BEACH, CA 92660
FOR DEPOSIT ONLY
By V. H. Atkinson
⑆122000496⑆ 4541011971⑆ 9740 ⑆000060000⑆

10-41222
9745
1-18-08
V. H. Atkinson
61 Capistrano
Capistrano Ranch, CA 92651
Pay to the order of Jaime Morales \$740.00
Seven hundred & 00/100
UNION BANK OF CALIFORNIA
BRANCH HEAD OFFICE
1000 SAN MARINO BLVD
NEWPORT BEACH, CA 92660
FOR DEPOSIT ONLY
By V. H. Atkinson
⑆122000496⑆ 4541011971⑆ 9745

10-41222
9748
1-24-08
V. H. Atkinson
61 Capistrano
Capistrano Ranch, CA 92651
Pay to the order of M. M. London \$63.50
Sixty three & 50/100
UNION BANK OF CALIFORNIA
BRANCH HEAD OFFICE
1000 SAN MARINO BLVD
NEWPORT BEACH, CA 92660
FOR DEPOSIT ONLY
By V. H. Atkinson
⑆122000496⑆ 4541011971⑆ 9748 ⑆0000006350⑆

10-41222
9751
2-1-08
V. H. Atkinson
61 Capistrano
Capistrano Ranch, CA 92651
Pay to the order of Angie Barad \$60.00
Sixty & 00/100
UNION BANK OF CALIFORNIA
BRANCH HEAD OFFICE
1000 SAN MARINO BLVD
NEWPORT BEACH, CA 92660
FOR DEPOSIT ONLY
By V. H. Atkinson
⑆122000496⑆ 4541011971⑆ 9751 ⑆0000006000⑆

10-41222
9760
2-5-08
V. H. Atkinson
61 Capistrano
Capistrano Ranch, CA 92651
Pay to the order of Feb 5 \$28.14
Twenty eight & 14/100
UNION BANK OF CALIFORNIA
BRANCH HEAD OFFICE
1000 SAN MARINO BLVD
NEWPORT BEACH, CA 92660
FOR DEPOSIT ONLY
By V. H. Atkinson
⑆122000496⑆ 4541011971⑆ 9760 ⑆0000002814⑆

10-41222
9763
2-8-08
V. H. Atkinson
61 Capistrano
Capistrano Ranch, CA 92651
Pay to the order of Alvaro Arana \$50.00
Fifty & 00/100
UNION BANK OF CALIFORNIA
BRANCH HEAD OFFICE
1000 SAN MARINO BLVD
NEWPORT BEACH, CA 92660
FOR DEPOSIT ONLY
By V. H. Atkinson
⑆122000496⑆ 4541011971⑆ 9763 ⑆0000005000⑆

10-41222
9764
2-8-08
V. H. Atkinson
61 Capistrano
Capistrano Ranch, CA 92651
Pay to the order of O.C. Renee Mission \$20.00
Twenty & 00/100
UNION BANK OF CALIFORNIA
BRANCH HEAD OFFICE
1000 SAN MARINO BLVD
NEWPORT BEACH, CA 92660
FOR DEPOSIT ONLY
By V. H. Atkinson
⑆122000496⑆ 4541011971⑆ 9764

10-41222
9765
2-6-08
V. H. Atkinson
61 Capistrano
Capistrano Ranch, CA 92651
Pay to the order of Caro Lucia \$190.00
One Hundred Ninety & 00/100
UNION BANK OF CALIFORNIA
BRANCH HEAD OFFICE
1000 SAN MARINO BLVD
NEWPORT BEACH, CA 92660
FOR DEPOSIT ONLY
By V. H. Atkinson
⑆122000496⑆ 4541011971⑆ 9765 ⑆0000019000⑆

H

9728

1-2 NSF

Pay to the order of Blue Shield \$ 455.00

Three Hundred Fifty-five and 00/100

UNION BANK OF CALIFORNIA
BRANCH 1000 1ST
1000 1ST STREET, 10TH
SAN FRANCISCO, CA 94104
94104-0000

For V. H. Atkinson

⑆122000496⑆ 4541011971⑆ 9728 ⑈0000043500⑈

9729

1-2 NSF

Pay to the order of Blue Shipping \$ 20.42

Twenty and 42/100

UNION BANK OF CALIFORNIA
BRANCH 1000 1ST
1000 1ST STREET, 10TH
SAN FRANCISCO, CA 94104
94104-0000

For (Cash) V. H. Atkinson

⑆122000496⑆ 4541011971⑆ 9729 ⑈0000002042⑈

9735

1-12 NSF

Pay to the order of Paul W. Cohen \$ 100.00

One Hundred and 00/100

UNION BANK OF CALIFORNIA
BRANCH 1000 1ST
1000 1ST STREET, 10TH
SAN FRANCISCO, CA 94104
94104-0000

For V. H. Atkinson

⑆122000496⑆ 4541011971⑆ 9735

9737

1-17 NSF

Pay to the order of Ruebe Barad \$ 6.00

Six and 00/100

UNION BANK OF CALIFORNIA
BRANCH 1000 1ST
1000 1ST STREET, 10TH
SAN FRANCISCO, CA 94104
94104-0000

For V. H. Atkinson

⑆122000496⑆ 4541011971⑆ 9737 ⑈0000006000⑈

9738

1-17 NSF

Pay to the order of Marie Brinderson \$ 16.50

Sixteen and 50/100

UNION BANK OF CALIFORNIA
BRANCH 1000 1ST
1000 1ST STREET, 10TH
SAN FRANCISCO, CA 94104
94104-0000

For V. H. Atkinson

⑆122000496⑆ 4541011971⑆ 9738 ⑈0000001650⑈

9741

1-18 NSF

Pay to the order of Lythiasse James Whitaker \$ 8,000.00

Eight Thousand and 00/100

UNION BANK OF CALIFORNIA
BRANCH 1000 1ST
1000 1ST STREET, 10TH
SAN FRANCISCO, CA 94104
94104-0000

For Thanks! V. H. Atkinson

⑆122000496⑆ 4541011971⑆ 9741 ⑈0000800000⑈

9744

1-15 NSF

Pay to the order of Gay Coon \$ 193.13

One Hundred Nine and 13/100

UNION BANK OF CALIFORNIA
BRANCH 1000 1ST
1000 1ST STREET, 10TH
SAN FRANCISCO, CA 94104
94104-0000

For G. J. A. 431561 V. H. Atkinson

⑆122000496⑆ 4541011971⑆ 9744 ⑈0000019313⑈